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Funded by the European Union

CLIMAAX climate ready regions

Financial Support for Third Parties SUB-GRANT AGREEMENT

between the CLIMAAX Consortium and

Mesto Košice (City of Košice)

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This Sub-Grant Agreement ('the **Agreement**") is between the following parties:

On the one part, on behalf the CLIMAAX Consortium and its members (hereinafter CLIMAAX Consortium Partner) according with the powers conferred,

UNIVERSITAT POLITÈCNICA DE CATALUNYA (UPC) represented by Prof. Daniel Crespo Artiaga, rector of the UPC, appointed by Decree 115/2021 (published on June 3, 2021 in DOGC no. 8424), whose address in Barcelona is C/Jordi Girona 31, with Tax Identification Number ESQ0818003F, and who acts on behalf of the above institution by virtue of the provisions in article 50 of the Organic Law of University System 2/2023, of 22 March; and articles 67, 68 and 169 of the Statutes of the UPC, passed by Agreement GOV/43/2012, May 29th of the Government of Catalonia (DOGC no. 6140 of 1 June 2012). Acting as representant of the CLIMAAX Project Consortium,

hereinafter referred as the "**Contractor**".

And, on the other part,

Mesto Košice (City of Košice) a pubic body organized under the laws of Slovakia established in Trieda SNP 48A, 4001, Košice, Slovakia, with VAT nr SK2021186904 duly represented by Jaroslav Polaček, Mayor, hereinafter referred to as the **Beneficiary**.

Hereinafter **Contractor** and **Beneficiary** each individually referred to as a **Party** and collectively as **Parties**,

HAVE AGREED to the following terms and conditions including those in the following Annexes, which form an integral part of this CLIMAAX FSTP Open Call Sub-Grant Agreement (hereinafter referred as the "**Contract**"):

GENERAL PROVISIONS

The **European Climate, Infrastructure and Environmental Executive Agency (CINEA)** (hereinafter referred as the '**EU executive agency**' or '**granting authority'**) under the powers delegated by the European Commission (hereinafter referred as the '**EC**') and the Contractor, as a member of the **CLIMAAX consortium,** have signed the Grant Agreement no 101093864, for the implementation of the project **'CLIMAte risk and vulnerability Assessment framework and toolboX', (CLIMAAX)** within the framework the program Horizon Europe HORIZON-MISS-2021-CLIMA-02.

The Beneficiary has received the favourable resolution by the independent evaluators and therefore is entitled to receive funding and services according to the terms and conditions set out under this Sub-Grant Agreement and in accordance with the **CLIMAAX FSTP Guide for Applicants** (CLIMAAX D4.1), included as Annex 4 to this Contract.

This Contract aims at defining the framework of rights and obligations of the Contracting Parties.

The Funding received by the Beneficiary is property of the EC. The Contractor is the mere holder and manager of the funds.

To achieve the purpose, they formalize the present Agreement, in accordance with the following Clauses.

Article 1 SUBJECT OF THE AGREEMENT

1. The Agreement sets out the terms and conditions of awarding and paying the grant to the Beneficiary and the Beneficiary's participation in the CLIMAAX FSTP program, in accordance with the CLIMAAX FSTP Guide for Applicants (Annex 4).
2. The grant is awarded for SCOPE - Sustainable Climate Outcomes for People of Eastern Slovakia (the '**Project**)', selected in the CLIMAAX 1st Open Call and described in the Application form submitted by the Beneficiary in the above-mentioned FSTP Open Call (application form is Annex 3 to this Contract).
3. The assistance provided by the Contractor to the Beneficiary under the Agreement will be in the form of an amount (as a lump sum funding) and services.
4. The results of the Project as well as the Project Deliverables will be public.

Article 2 ENTRY INTO FORCE AND STARTING DATE OF THE PROJECT

1. The Agreement enters into force on the day of signature by the last Contracting Party, with the Agreement's effective date (the **Starting Date**) on October 1st, 2024.
2. The Agreement shall be in force from the Starting Date until the full completion, or the termination of the Project (Article 15), whichever occurs first.
3. The details and time planning of the Project are described in the Project Individual Follow-up Plan (**IFP**), defined in Annex 1 - IFP.

Article 3 ELIGIBILITY CONDITIONS, CONFLICT OF INTEREST

1. By signing the Agreement, the Beneficiary declares that it meets the eligibility conditions for participation in the CLIMAAX FSTP as defined in the CLIMAAX Guide for Applicants (Annex 4).
2. In particular the Beneficiary confirms that:
    a) information concerning its legal status provided to the Contractor is correct, complete and up-to-date;
    b) all the data included in the formal check form are true, correct, complete and up to date;
    c) it has not received any other EU grant for the Project and will give notice of any future EU grants related to this Project awarded to the Beneficiary;
    d) it has stable and sufficient resources to maintain the activity throughout the action and to provide any counterpart funding necessary and has or will have the necessary resources needed to implement the Project;
    e) it is not excluded from the possibility of obtaining EU funding under the provisions of either national and EU law, or by a decision of either national or EU authority;
    f) the Project is not excluded under the provisions of Article 18 or 19 of Regulation (EU) No 2021/695 of the European Parliament and of the Council of 28 April(ethics);
    g) the Beneficiary (or person with unlimited liability for its debts) is not subject to bankruptcy proceedings or similar (including insolvency, winding-up, administration by

a liquidator or court, arrangement with creditors, suspension of business activities, etc.);

h) it is not in breach of social security or tax obligations;

i) It is not listed on the EU sanction list, which means that it is not subject to EU restrictive measures under Article 29 of the Treaty on the European Union (TEU) and Article 215 of the Treaty;

j) it is not (or persons having powers of representation, decision-making or control, beneficial owners or persons who are essential for the award/implementation of the grant) in one of the following situations:

j.1. being guilty of grave professional misconduct, having committed fraud, having links to a criminal organisation, being involved in corruption, money laundering, terrorism-related crimes (including terrorism financing), child labour or human trafficking,

j.2. showing significant deficiencies in complying with the main obligations under an EU procurement contract, grant agreement or grant decision,

j.3. being guilty of irregularities within the meaning of Article 1(2) of the Council Regulation No 2988/95,

j.4. being established in another jurisdiction with the intent to circumvent fiscal, social or other legal obligations in the country of origin (including the establishment of another entity with this purpose);

k) Project developments are free from third party rights, or those third party rights are clearly stated and are not subject to any dispute or claims by third parties;

l) the Project results are based on Beneficiary's original works, or the Beneficiary may use the works constituting the basis of the Project and any foreseen developments of such works are free from third party claims, unless stated otherwise, and they are not subject to any dispute or claims by third parties.

3. The Beneficiary hereby declares lack of any conflict of interest with any of the CLIMAAX Consortium Members. A conflict of interest means any situation where the impartial and objective nature of the awarding of a grant for the Beneficiary's project is compromised for reasons related to economic interest, political or national affinity, family or emotional ties or any other shared interest. The Beneficiary is obliged to inform the CLIMAAX Consortium about any existing or occurring conflict of interest and immediately take all the necessary steps to manage the situation as instructed.

4. If a Beneficiary breaches, any of its obligations under this Article, the grant may be reduced and other measures described in Articles 15-19 may apply.

Article 4 GRANT AND FINANCIAL PROVISIONS

1. The maximum grant amount is EUR 171.975 paid as a lump sum[1] following the conditions set out in this Agreement and its Annexes.
2. The project budget is detailed in the Project Individual Follow-Up Plan (IFP), which is part of this Agreement (Annex 1).
3. Payment of the individual tranches of the grant to the Beneficiary depends on the proper implementation of the Project, completion of the agreed IFP and delivery of the agreed documents.
4. Eligible costs are direct and indirect costs incurred within the Project execution, that correspond to the Project budget set out in the IFP and corresponding to the tasks or parts of the Project that have been properly implemented (including personnel costs, other direct costs, overheads and subcontracting).
5. Ineligible costs are:
    a) costs that do not comply with the conditions set out above;
    b) costs declared under another EU or Euratom grant (including grants awarded by a Member State and financed by the EU or Euratom budget and grants awarded by bodies other than the EC or EU Agency for the purpose of implementing the EU and Euratom budget);
    c) costs incurred outside the Project execution.
6. Beneficiary acknowledges that the "no double funding" rule applies to the awarded grant. "Double funding" means the situation where the same costs for the same activity are funded twice through the use of public funds. It is a fundamental principle underpinning the rules for public expenditure in the EU that no costs for the same activity can be funded twice from the EU budget. It is not allowed in any circumstances. The Beneficiary undertakes to follow this rule. The Beneficiary cannot use money received within the CLIMAAX to cover activities other than the ones related to the Project.
7. During the Programme, the Contractor will provide the Beneficiary also with non-financial support in the form of:
    a) Access and support to use the multi-risk assessment Toolbox (including data and risk assessment procedures, enabling regions and communities to assess the effects of climate change on a diversity of risks and impacts at the regional scale across Europe. This support will include:
        a.1. **Methodological guidance** on the tools and on the technical details of the multi-risk assessment methodological framework, and their implementation at the location of interest.

[1] The lump sum is a simplified method of settling expenses in projects financed from Horizon Europe funds. Under this method, the Beneficiary is not required to present strictly defined accounting documents to prove the cost incurred (e.g. invoices), but is obliged to demonstrate the implementation of the project in line with the budget and milestones set for the Project. The lump sum does not release the Beneficiary from the obligation to collect documentation to confirm the costs under fiscal regulation.

a.2. **Technical advice and** support through the CLIMAAX helpdesk to resolve issues related to the use of the Toolbox, including how to use local data and approaches.

b) Access to the outreach and engagement events organized by CLIMAAX intended to promote shared learning and mutual exchange through the project Community of Practice.

8. The detailed scope of the above-mentioned support is described in the Guide for Applicants included in Annex 4.

Article 5 PAYMENT SCHEDULE

1. The payment schedule is directly linked to the relevant phase of the Project as detailed in the Guide for Applicants (in Annex 4) and will be paid in the instalments as follows:

PHASE OF WORK	DELIVERABLE	DATE*	AMOUNT
Phase 1 Multi-risk climate assessment	D1: Implementation of the CLIMAAX common methodology and Toolbox for multi-risk assessment and analysis of the results.	M6	30% of the grant
Phase 2: Refined regional/local multi-risk assessment.	D2: Refined regional/local high-resolution analysis and risk assessment and comparison of results.	M16	40% of the grant
Phase 3: Climate Adaptation strategies and improved RMP.	D3: Contribution to local adaptation strategies and improved risk management plans.	M22	15% of the grant
	Final payment (point 6)	Once final payment received from EC	15% of the grant

*Dates are in months after the Starting Date of the project

2. These payments are subject to the receipt of the EC funds by the CLIMAAX Consortium.
3. Payments will be transferred into the bank account indicated in the Bank identification form included as Annex 2 to this Agreement. Payments shall be considered to have been carried out on the date when they are debited from UPC account.
4. Payments will be made in euros. The Beneficiary shall provide a bank account denominated in euros; otherwise, the Beneficiary will bear the currency conversion costs.
5. The grant received by the Beneficiary is owned by the EC until the final payment (payment of the balance) for the whole CLIMAAX Project. The Contractor is a mere holder and manager of the funds.

6. Following the rules of the Horizon Europe program, a delayed payment mechanism will be applied to the 15% of the of total grant paid to the Beneficiary. This final payment will be done once the entire CLIMAAX Project is completed and approved by the CINEA. This should happen within 7 months after the end of the CLIMAAX project, expected on 28 February 2027 (it may be changed by an amendment of the Grant Agreement). UPC will inform the Beneficiary about the exact end date of CLIMAAX and the expected date of the final payment to the beneficiary.

Article 6 COMMUNICATION BETWEEN THE PARTIES

1. Unless stated otherwise, communication under the Agreement (requests, submissions, formal notifications etc.) must be made in writing. For the purposes of this Agreement, the written form shall be deemed to include e-mail communication sent to the e-mail addresses indicated below.
2. Parties appoint the following persons authorised to communicate:
   a. for the Beneficiary - - strategic development department –
   b. for the Contractor: –
3. Change of contact persons and/or their email addresses does not constitute an amendment to the Agreement and may be made in the form of a notification sent to the email address of the other Party.
4. E-mail communication is considered to have been made once it is sent by the sending Party (i.e. on the date and time it is sent). Communication is considered to have been received on the date and time of receipt by the receiving Party. In the absence of confirmation of the receipt, communication is considered to have been received 3 days after it was sent.
5. Formal notifications on paper sent by registered post are considered to have been made on either: the delivery date registered by the postal service or the deadline for collection at the post office.
6. English is the only official language of the CLIMAAX FSTP program and this Agreement. This means that all documents, deliverables, reports etc. as well as the whole communication shall be in English.
7. Formal notifications on paper addressed to the Contractor must be sent to the Contractor's correspondence mailing address: CRAHI, Universitat Politècnica de Catalunya, Carrer Jordi Girona 1-3, Campus Nord, C4-S1, 08034 Barcelona, Spain.
8. Formal notifications on paper addressed to the Beneficiary must be sent to Trieda SNP 48A, 4001, Košice, Slovakia.

Article 7 BENEFICIARY'S OBLIGATIONS

1. The Beneficiary has full responsibility for implementing the Project in compliance with the provisions of the Agreement and its Annexes and all legal obligations under applicable EU, international and national law.
2. If the Beneficiary fails to properly implement the Project (or part of it), the corresponding lump sum or instalment will be rejected as ineligible and the grant will be reduced proportionally.

3. The Beneficiary shall take all measures to promote equal opportunities and gender equality during Project implementation. It must aim, to the extent possible, for gender balance at all Project levels, including at supervisory and managerial level.
4. The Beneficiary is also responsible for:
    a) monitoring Project's proper implementation;
    b) providing in good time any required documents or information to the Contractor;
    c) informing the Contractor immediately of any events or circumstances likely to significantly affect or delay the implementation of the Project;
    d) informing the Contractor immediately of any changes in its legal, financial, technical, organisational or ownership situation;
    e) informing the Contractor immediately of any circumstances affecting the grant award decision or compliance with the Agreement.
5. If the Beneficiary breaches its obligation arising from this Agreement, the grant may be reduced and the Contractor may apply other measures described in Articles 15 to 19.
6. Grant provided upon this Agreement is funded within Horizon Europe programme, therefore the General provisions of the CLIMAAX Grant Agreement described in the Horizon Europe General Model Grant Agreement (HE General MGA – Multi), are hereby incorporated into this agreement. The relevant articles that affect the Beneficiary are detailed in Annex 5.
7. The Beneficiary acknowledges and agrees that the obligations comprised in this Agreement and the above-mentioned obligations of the Horizon Europe General Model Grant Agreement are fully applicable to it.

Article 8 RESOURCES TO IMPLEMENT THE PROJECT — SUBCONTRACTORS INVOLVED IN THE PROJECT

1. For the purposes of implementing the Project, the Beneficiary may purchase goods and services and use subcontractors and external services as indicated in the Project budget (included in the IFP in Annex 1), ensuring the best value for money or, if appropriate, the lowest price. In doing so, it must avoid any conflicts of interest.
2. The Beneficiary must ensure that its obligations under this Agreement and the Articles from the CLIMAAX Grant Agreement detailed in Annex 5 also apply to its subcontractors and partners.

Article 9 GENERAL OBLIGATION TO INFORM and KEEPING RECORDS

1. Articles 19 and 20 of the Horizon Europe General Model Grant Agreement,included in this Agreement, applies as a contractual obligation for the Beneficiary .
2. Additionally, the Beneficiary must provide, during the implementation of the Project and 6 years after CLIMAAX project ends (which is 28/02/2027), upon request of the Contractor or EC, any information or documentation requested in order to carry out:
    a) the verification of proper implementation of the Project by the Beneficiary (including achievement of the agreed KPIs and milestones, lack of double funding, compliance with obligations under the Agreement);

b) statistical analyses and evaluation (of e.g. project results and impact, Beneficiary's further growth and project development, for example, further investments, grants etc.);

3. If there are on-going checks, reviews, audits, investigations, litigation or other pursuits of claims under the Agreement (including the extension of findings from other grants to this grant), the Beneficiary must keep the records and other supporting documentation until such checks, reviews, audits, investigations, litigation or other pursuits of claims under the Agreement are resolved.
4. The Beneficiary must keep the original documents. Digital and digitised documents can be considered originals if authorised by the applicable national law. The Contractor may accept copies of documents if it considers that they offer a comparable level of assurance.
5. The procedure described in Article 10 applies accordingly.

Article 10 CHECKS, REVIEWS, AUDITS, AND INVESTIGATIONS

1. Article 25 of the Horizon Europe General Model Grant Agreement included in this Agreement, applies as a contractual obligation for the Beneficiary.
2. Additionally, the CLIMAAX Consortium may review the proper implementation of the Project and its compliance with the obligations under the Agreement during the implementation of the Project and three years after the end of the CLIMAAX project, which is 28/02/2027.
3. Proceeding on behalf of the CLIMAAX Consortium may be performed by the Contractor, the Consortium Coordinator or another partner indicated by the CLIMAAX Consortium.
4. The CLIMAAX Consortium will formally communicate the review results to the Beneficiary. The Beneficiary may formally respond to the review report within 30 days ('contradictory review procedure').
5. In any case, the Beneficiary shall be liable for any claims that the members of the CLIMAAX Consortium may receive due to a breach of their obligations contained in this Agreement.

Article 11 EVALUATION OF THE IMPACT OF THE PROJECT

1. The Contractor or EC may carry out interim and final evaluations of the impact of the Project measured against the objectives and indicators of the EU programme.
2. Evaluations may be started during the implementation of the Project and up to six years after the CLIMAAX project ends (28/02/2027). The evaluation is considered to start on the date of the formal notification to the Beneficiary.
3. The Contractor or EC may be assisted by independent outside experts.
4. The Beneficiary must provide any information relevant to an evaluation of the impact of the project, including information in electronic format.

Article 12 FORCE MAJEURE

1. *'Force majeure'* means any situation or event that prevents either Party from fulfilling their obligations under the Agreement and is an unforeseeable, exceptional situation beyond the Parties' control and proves to be inevitable in spite of exercising all due diligence.
2. Any situation constituting force majeure must be formally notified to the other Party without delay, stating the nature, likely duration and foreseeable effects.

3. The Party must immediately take all the necessary steps to limit any damage due to force majeure and do their best to resume implementation of the project as soon as possible. The Party prevented by force majeure from fulfilling its obligations under the Agreement cannot be considered in breach of them.

Article 13 PROMOTING THE PROJECT — COMMUNICATION, DISSEMINATION AND VISIBILITY OF EU FUNDING

1. The Beneficiary must promote the Project and its results, by providing targeted information to multiple audiences (including the media and the public) in a strategic, coherent and effective manner.
2. Before engaging in a communication/dissemination activity expected to have a major media impact, the Beneficiary must inform the Contractor about it.
3. Communication activities of the beneficiaries related to the Project (including media relations, conferences, seminars, information material, such as brochures, leaflets, posters, presentations, etc., in electronic form, via traditional or social media, etc.), dissemination activities and any supplies or major result funded by the grant must acknowledge the EU support and display the European flag (emblem) and funding statement (translated into local languages, where appropriate) as well as the CLIMAAX Project logo:

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and include the following disclaimer (translated into local languages where appropriate): "Funded by the European Union. Views and opinions expressed are however those of the author(s) only and do not necessarily reflect those of the European Union or European Commission. Neither the European Union nor the granting authority can be held responsible for them."

4. The emblem must remain distinct and separate and cannot be modified by adding other visual marks, brands or text. When displayed together with another logos, the emblem must be displayed at least as prominently and visibly as the other logos.
5. Apart from the emblem, no other visual identity or logo may be used to highlight the EU support.
6. When displayed in association with other logos (e.g. of beneficiaries or sponsors), the emblem must be displayed at least as prominently and visibly as the other logos.
7. For the purposes of their obligations under this Article, the beneficiary may use the emblem without first obtaining approval from the granting authority. This does not, however, give them the right to exclusive use. Moreover, they may not appropriate the emblem or any similar trademark or logo, either by registration or by any other means.
8. Beneficiary authorises the Contractor to use its logotype and non-confidential data for promotional purposes.
9. As part of this promotion, the Beneficiary will participate in two workshops organized by the CLIMAAX consortium (mid 2025 in Barcelona, and in 2026 in Brussels). The travel costs to attend these workshops should be included in the budget (IFP of the Project, Annex 1).

Article 14 LIABILITY FOR DAMAGES

1. Liability of the Contractor or any CLIMAAX Consortium Partner:
    a) The Contractor or any CLIMAAX Consortium Partner cannot be held liable for any damage caused to the Beneficiary or to third parties as a consequence of implementation of the Agreement;
    b) The Contractor or any CLIMAAX Consortium Partner cannot be held liable for any damage caused by the Beneficiary or other participants involved in the Project, as a consequence of implementation of the Agreement.
2. Liability of the Beneficiary:
    a) The Beneficiary must compensate the Contractor or a respective CLIMAAX Consortium Partner for any damage it sustains as a result of the implementation of the Project or because the Project was not implemented in full compliance with the Agreement (in particular in case of false statements or information regarding Beneficiary eligibility).
3. With the exception of the duty of confidentiality, the Parties' liability for damages is limited to direct loss but does not extend to consequential loss, such as interruptions in production or other operating losses, loss of revenue or profit, or other indirect losses. The Parties' liability is limited to the amount of grant, provided such damage was not caused by a wilful misconduct or gross negligence.
4. The terms of this Agreement shall not be construed to alter or limit the statutory liability of either Party.
5. The EC is not a Party to this Agreement. Therefore, the EC cannot be held liable for any damage, including gross negligence, caused to the Beneficiary or to third parties as a consequence of implementing the Agreement.
6. The EC cannot be held liable for any damage caused by the Beneficiary or third parties involved in the Project, as a consequence of implementing the Agreement.

Article 15 TERMINATION OF THE AGREEMENT

1. This Agreement covers all three phases of the CLIMAAX FSTP programme. At the end of each of the aforementioned phases, an evaluation of the Beneficiary projects' progress will take place as fully described in Annex 4 "Guide for Applicants".
2. This Agreement will automatically terminate when the Beneficiary has completed the 3 phases of the Project, fulfilling all its obligations (Article 7); the 3 contractual Deliverables have been approved; and the Beneficiary has received the final payment from the Contractor (Article 5).
3. In case the evaluators of the Beneficiary projects' progress do not receive or accept any due Deliverable, this Agreement is automatically terminated, and the Beneficiary fully accepts that no additional payments related to the phase of the missing or not accepted deliverable will be made by the Contractor.
4. The Contractor may also terminate the Agreement if:
    a) the Beneficiary no longer meets the eligibility conditions, in particular described in Article 3;
    b) the Beneficiary has significantly delayed the implementation of the Project;

c) the Beneficiary fails to comply with its obligations regarding double funding prohibition rule,

d) the Contractor assesses any continuation of the Project by the Beneficiary as being unfounded because of the dismissive attitude of the Beneficiary or lack of engagement by the Beneficiary in the Project (such decision should be made by the Implementation Committee and it is final);

e) the grant is used by the Beneficiary in violation of the CLIMAAX project and Horizon Europe fundamentals (for example the resources are transferred outside of the eligible countries).

5. Before terminating the Agreement, the Contractor will send a pre-information letter to the Beneficiary:

   a) formally notifying the intention to terminate and the reasons why, and

   b) requesting observations within 7 days of receiving notification.

6. If the Contractor does not receive observations or decides to pursue the procedure despite the observations it has received, it will confirm the termination and the date it will take effect (confirmation letter). Otherwise, it will formally notify that the procedure is not continued.

7. The Contractor will calculate the final grant amount and the balance on the basis of the deliverables approved, the eligible costs and compliance with other obligations under the Agreement.

8. The Beneficiary may not claim damages due to termination by the Contractor.

9. Termination has no effect on the provisions that normally continue to apply after the end of the Project, in particular those related to the Articles of the Grant Agreement detailed in Annex 5: keeping records and other supporting documentation, submitting itself to checks, reviews, audits, and investigations, complying with the rules on the management of intellectual property, background, and results, maintaining confidentiality, promoting the Project and publicly display references to the EU funding, not assigning claims for payment, calculation of the grant, recovery of payments already made, consequences of non-compliance, payments (if there is any payment due only), claims, recovery of the grant, liability for damages, applicable law.

Article 16 SUSPENSION OF PAYMENTS

1. The Contractor may suspend payments, in whole or in part, if the Contractor has justified doubts regarding the implementation of the Project by Beneficiary. The suspension will take effect the day after the confirmation letter is sent (or on a later date specified in the letter).

2. If the conditions for resuming payments are met, the suspension will be lifted. The Contractor will formally notify the Beneficiary of this fact.

Article 17 REDUCTION OF THE GRANT

1. If the Contractor finds substantial errors in the execution of the Phases, irregularities or fraud or breach of obligations under this Agreement (e.g. the Project is improperly implemented or not implemented; submission of false information, failure to provide required information, breach of ethics or security rules), it may reduce the grant in proportion to the seriousness and duration of the errors, irregularities or fraud or breach of obligations, including the rejection

of the payments corresponding to the Phases in case of no execution or improper execution of this, following checks, reviews, audits or investigations .

2. If the Contractor reduces the grant, it will deduct the reduction and then calculate the amount due (and, if needed, make a recovery).
3. If the reduction of the grant does not lead to a recovery, the Contractor will formally notify the Beneficiary of the reduction, the amounts and the reasons why. The Beneficiary may — within 7 days of receiving notification — submit observations if it disagrees with the reduction.
4. If the Contractor reduces the grant after the payment of the grant, it will calculate the revised final grant amount. If the revised final grant amount for the Beneficiary is lower than the grant paid, the Contractor will recover the difference.

Article 18 RECOVERY OF UNDUE AMOUNTS

1. The Contractor in representation of the CLIMAAX Consortium will claim back any amount if it turns out that the Contractor has paid too much and needs to recover the amounts undue (it might happen also after the completion of the Project).
2. If the payment is not made by the date specified in the debit note, the Contractor will recover the amount by taking legal action in accordance with the relevant national law and this Agreement.
3. The Contractor may offset the due amount, without the Beneficiary's consent, against any amounts owed to the Beneficiary by the CLIMAAX Consortium.

Article 19 DISSEMINATION AND ACCESSIBILITY OF RESULTS

1. The Intellectual property rights (IPR) of the foreground of the Project will remain property of the Beneficiary, but the deliverables will be public and subject to open access documents, and the results obtained by the Project will be publicly available.
2. Dissemination is the public disclosure of the results by appropriate means, other than resulting from protecting or exploiting the results, including by publications in any medium. The Beneficiary must disseminate its results as soon as feasible, in a publicly available format, subject to any restrictions due to the protection of intellectual property, security rules or legitimate interests.
3. In order to enrich the databases and knowledge about climate change effects in Europe, the applicants will share the regional/local data used in their work and the results obtained with the DRMKC Risk Data Hub of the Joint Research Centre (RDH). The RDH will then review data and build a bespoke "user corner" for the CLIMAAX project. This only applies to data not restricted by privacy or other legal considerations.

Article 20 PROCESSING OF PERSONAL DATA

1. Any personal data under the Agreement will be processed in accordance with applicable EU and national data protection law. Such data will be processed by the Contractor for the purposes of implementing, managing and monitoring the Agreement or protecting the financial interests of the EU (including checks, reviews, audits, and investigations).
2. The persons whose personal data are processed have the right to access and amend their own personal data.

3. The Beneficiary must process personal data under the Agreement in compliance with applicable EU, international and national law on data protection (in particular, Regulation 2016/679).
4. The Beneficiary may grant their personnel access to personal data only if it is strictly necessary for implementing, managing and monitoring the Agreement. The Beneficiary must ensure that the personnel is under a confidentiality obligation.

Article 21 FINAL PROVISIONS.

1. Annexes to the Agreement form an integral part of it.
2. Amendments to this Agreement and its termination shall be made in writing and signed by the duly authorised representative of the Parties.
3. Any modification of the bank account shall be communicated to the Contractor in written form and duly signed by an authorised person.
4. The Beneficiary may not assign any of its claims for payment against the Contractor to any third party, except with the Contractor's prior written consent; otherwise, the assignment will be null and void.
5. In accordance with Regulation, No 1182/71, periods expressed in days, months or years are calculated from the moment the triggering event occurs. The day during which that event occurs is not considered as falling within the period.
6. The Agreement is governed by the law of Spain and, applicable EU law, in particular:
    a) Regulation (Eu) No 2021/695 of the European Parliament and of the Council of 28 April 2021 establishing Horizon Europe – the Framework Programme for Research and Innovation, laying down its rules for participation and dissemination, and repealing Regulations (EU) No 1290/2013 and (EU) No 1291/2013 ;
    b) Regulation (EU, Euratom) 2018/1046 of the European Parliament and of the Council of 18 July 2018 on the financial rules applicable to the general budget of the Union; supplemented, if necessary by where appropriate, by the rules of general international law.
7. The Beneficiary bears sole responsibility for abidance by its national law, in particular in relation to tax and social security and labour law. Nothing in this Agreement shall be deemed to require a Party to breach any mandatory statutory law under which the Party is operating.
8. Nothing in this Agreement shall be deemed to constitute a joint venture, agency, partnership, interest grouping or any other kind of formal business grouping or entity between the Parties.
9. Any dispute concerning the interpretation, application or validity of the Agreement should be settled amicably.
10. If a dispute concerning the interpretation, application or validity of the Agreement cannot be settled amicably, such dispute shall be submitted to the Court of Barcelona.
11. By signing the Agreement, the Beneficiary confirms that it has read and understood these conditions and accepts them.

By signing the Agreement, the Beneficiary accepts the grant and agrees to assume responsibility for it and implement it in accordance with this Agreement, including all the rights, obligations and conditions it sets out. The Beneficiary confirms that all information provided is true, correct and up to date as of the date of signing the Agreement.

The individual signing below hereby represents and warrants that it is duly authorized to execute and deliver this Agreement on behalf of the named Party and that this Agreement is binding upon the named Party in accordance with its terms.

The electronic signature of this Agreement has the same legal effect, validity and enforceability as a handwritten signature. The maintenance of records in electronic format will have the same validity as a documentary file in paper format

For the Beneficiary	For the Contractor
Jaroslav Polaček	*Prof. Daniel Crespo Artiaga,*
Mayor	*Rector of the UPC*
Done at Košiceon	

Funded by the
European Union

CLIMAAX
climate ready regions

Annex 1: Individual Follow Up Plan

Funded by the European Union

CLIMAAX climate ready regions

Individual Follow Up Plan for CLIMAAX – FSTP

Mesto Košice / City of Košice

1. General information

Institution	
Legal name:	Mesto Košice (City of Košice)
Short name:	Košice
VAT number:	SK2021186904
Country:	Slovakia
Region:	SK04 (NUTS2) SK042 (NUTS3)
Address:	Trieda SNP 48A, 04001 Košice
Phone:	
Legal representative:	*Jaroslav Polaček*
Position:	Mayor

2.

Project	
Name:	Sustainable Climate Outcomes for People of Eastern Slovakia
Acronym:	SCOPE
Starting date:	01/10/2024
Duration:	22 months

Contact person	
Full name of the project contact person:	
Email address:	
Phone number:	

2. Objective

The main objective of SCOPE project is detailed mapping and analysis of climate-related risks specific to the Kosice region. By utilizing the advanced tools and methodologies provided by CLIMAAX, we will generate and regularly update localized climate risk data that is both accurate and actionable.

2.1. Specific objective

- *Past local data gathering and processing*
- *Stakeholders revision/co-design of the local CRA workflow*
- *Adaptation of the CLIMAAX workflows and toolbox*
- *Build on ongoing participatory approach to define viable adaptation strategies, communication and engagement campaign*
- *Identify CLIMAAX driven adaptation success stories for replication in other regions/sectors*
- *Policy recommendations (adaptation strategy, civil protection, planning and building code)*

2.2. Key Performance Indicators – KPIs

- *12 stakeholders involved in the activities of the project (M7-M21) (P2-P3)*
- *12 communication actions taken to share results with your stakeholders (M7-M21) (P2-P3)*
- *4 publications and dissemination actions (M6) (P1), (M15-M16) (P2), (M20-M22) (P3)*
- *4 notes for policy makers (M18-M22) (P3)*
- *2 of workflow successfully applied on deliverable 1 (M5) (P1)*
- *2 of workflow successfully applied on deliverable 2 (M14) (P2)*

3. Impact

3.1. Impact, expected outcomes and expected impacts

The results of our study, conducted within the framework of the SCOPE project, are poised to play a pivotal role in reducing the Kosice region's vulnerability to climate change. By focusing on improving risk knowledge and awareness and implementation of local policies, such as adaptation strategies and risk management plans, we aim to significantly enhance our community's resilience to the adverse effects of climate change.

One of the primary outcomes of our study will be the detailed mapping and analysis of climate-related risks specific to the Kosice region. By utilizing the advanced tools and methodologies provided by CLIMAAX, we will generate and regularly update localized climate risk data that is both accurate and actionable.

Kosice have already well established participatory approach including organization of educational workshops and public forums which will be used to to transfer knowledge and provide community feedback on the findings, ensuring that the knowledge permeates all levels of our community. By increasing awareness, we aim to foster a culture of preparedness and proactive adaptation among residents and stakeholders, empowering them to take informed actions to mitigate their vulnerability to climate impacts.

Moreover, the study's results will support the integration of climate risk considerations into all aspects of local governance and planning. This holistic approach ensures that climate adaptation and risk management are not standalone efforts but are embedded within the broader framework of regional development, urban planning, and social welfare policies.

In summary, the outcomes of our study under the CLIMAAX project will be instrumental in reducing our vulnerability to climate change. By enhancing risk knowledge and awareness and providing a solid evidence base for local policies, we will empower our community to navigate the complexities of climate adaptation with confidence and strategic foresight.

3.2. Main stakeholders to be involved

Municipalities - City Of Kosice, 22 city districts of City of Kosice, 40+ smaller municipalities (villages and settlements) in Kosice County, which surrounds City of Kosice, Kosice Region

City owned organisations: Municipal forests, Greenery maintenance, Utility of housing, Creative Industry Kosice, ZOO Kosice

Institutions: Slovak Water Management Company, Botanical garden Kosice, KRI - Climate and Development Institute,

Civic Associations: ETP Slovakia, DEDO foundation, Klima ta potrebuje/Climate needs you

Universities: Technical University Kosice, UPJS University Kosice

Over the years we have close relations to many various institutions via stakeholder meetings, stakeholder engagement tools, Urbact local group and bilateral memorandums. We want to use not only existing platforms, but enhance them into more cross disciplinary approaches (infra vs economics vs social)

3.3. Measures to maximise impact

Kosice have already well established participatory approach including organization of educational workshops and public forums which will be used to to transfer knowledge and provide community feedback on the findings, ensuring that the knowledge permeates all levels of our community. By increasing awareness, we aim to foster a culture of preparedness and proactive adaptation among residents and stakeholders, empowering them to take informed actions to mitigate their vulnerability to climate impacts.

4. Implementation

Kosice team has several departments dealing with environmental projects and strategic development of the city and region. Several local projects aimed at climate action include the public's help in creating more climate-safe cities or creating more resilient communities and making progress in climate change monitoring.

Phase 1

Our primary focus during this phase is to define clear objectives and criteria for the assessment process. Our attention is drawn to the city and its immediate surroundings, which have been experiencing challenges due to heavy precipitation and heat waves. These climate-related occurrences have been extensively documented through various local and regional projects. These projects have provided valuable data, including index calculations that measure the community's exposure to these hazardous conditions.

Phase 2

The plan is to review the findings of a previous phase with the possibility of identifying areas of improvement based on the obtained results. We expect to gather multidisciplinary experts with the aim of data analysts (collection, sorting, and processing of spatial and numerical data). We plan to establish cooperation with risk and vulnerability experts on local and regional levels. Additional

external expertise will consist of Python programmers, webGIS developers and spatial modellers. In addition, the Integration of Copernicus data is also expected to be outsourced, as well as the adaptation of the CLIMAAX workflow to local needs.

Phase 3

Our strategy is to identify adaptation options and for further actions to be implemented primarily by the internal team. This phase involves identifying structural and non-structural measurements like designing water retention measures and creating or updating early warning systems. Moreover, we recognise the importance of community engagement through community outreach programmes, which will involve a broader spectrum of different voices and points of view.

4.1. Workplan

Phase 1 (M1-M6)

The first phase will focus on defining the project management plan and applying the CLIMAAX CRA. Main tasks:
- Detailed project management plan,
- Risk scoping phase with the involvement of local authorities and sector representatives

- Familiarisation with the CLIMAAX Toolbox, data requirements and synergies with local specificities (data, policy, strategy).
- Executing CLIMAAX Toolbox for selected (scoping phase) risks .

- Interpretation of results, presentation to local stakeholders. Gaps and local needs assessment.

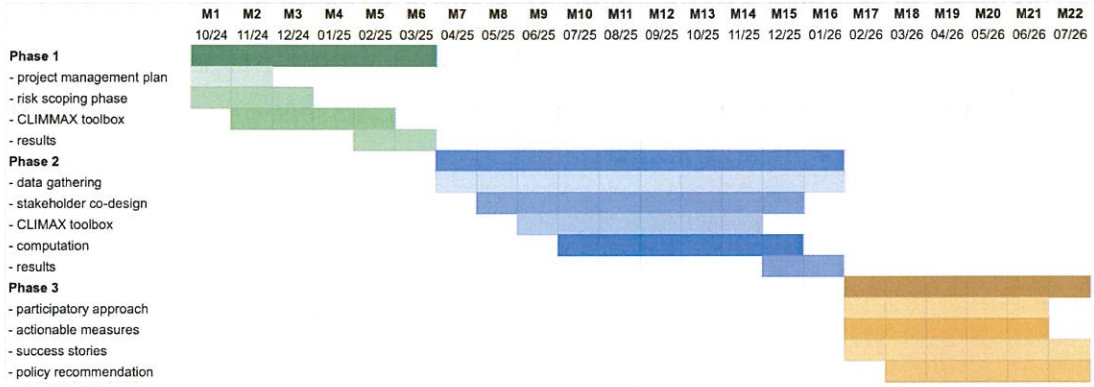
Phase 2 (M7-16)

In the second phase, we will refine our initial assessments using high-resolution local data. Our access to detailed climate and socio-economic data from local government bodies, meteorological stations, and local downscaled climate projections will be the main driver enabling us to enhance the accuracy and sustainability of our risk assessments through our own adaptation of the CLIMAAX workflows. The main activities:
- Local data gathering and processing
- Stakeholders revision/co-design of the local CRA workflow
- Adaptation of the CLIMAAX workflows and toolbox
- Computation of local CRA
- User-oriented Interpretation of the results with key stakeholders

Phase 3 (M17-22)

The final phase focuses on exploring and implementing adaptation options and actions to address identified risks and vulnerabilities.
- Build on ongoing participatory approach to define viable adaptation strategies, communication and engagement campaign
- Translate CRA results into actionable measures at different levels city/region life
- Identify CLIMAAX driven adaptation success stories for replication in other regions/sectors
- Policy recommendations (adaptation strategy, civil protection, planning and building code)

4.2. Gantt Chart



4.3. Deliverables

Nº	Phase	Name	Due by
D1	Multi-risk climate assessment	Implementation of the CLIMAAX common methodology for multi-risk assessment and analysis of the results.	Month 6 *15/03/2025*
D2	Refined regional/local multi-risk assessment	Refined regional/local risk assessment including local data and comparison of results.	Month 16 *15/01/2026*

Nº	Phase	Name	Due by
D3	Adaptation strategies and improved Risk Management Plans	Contribution to local adaptation strategies and improved risk management plans based on the previous studies.	Month 22 *15/07/2026*

4.4.

4.5.

1.

4.6. Milestones

- *M1: Stakeholders meeting in Phase 1 done*
- *M2: Phase 1 results presented*
- *M3: Stakeholders meeting and co-design in Phase 2 done*
- *M4: Attend the CLIMAAX workshop held in Barcelona.*
- *M5: Policy recommenadations delivered.*
- *M6: Attend the CLIMAAX workshop held in Brussels.*

5. Resources to be used

-

- - Personnel - total 73.560 euros - -

Internal Team

Project manager + project team member + project team member - 35% FTE per person (approx 910 euros)

3 person x 22 months x 910 euros - 60**.060 euros**

External experts

Hourly fee - 30 euros
Total expert work hours needed - 450

450 hours x 30 euros - **13.500 euros**

- Travel - 4.120 euros

- Other goods and services - 13.500 euros

Stakeholders meetings (utilities and rents) 6.500 euros

Datasets (previous years to set up trends and benchmarks) 7.000 euros

- - Subcontracting - - 58.000 euros - -

Data collection (previous years to set up trends and benchmarks) 11.000 euros
Data analysis 11.000 euros
Data visualization 11.000 euros
Field research & mapping 25.000 euros

5.1. Budget

	Item		Value (€)
1	**Personnel**		73,560€
2	**Other costs**	Travel*	4.120 €
		Other goods or services	13.500 €
3	**Direct costs (1 + 2)**		**91.180€**
4	**Indirect Costs (25% of 3)**		**22.795 €**
5	**Subcontracting**		**58.000 €**

	Item	Value (€)
6	**Total (3 + 4 + 5)**	**171.975€**

5.2.

5.2.

5.3.

** It must cover the travel costs of at least one representative who will present the results of the subproject at (i) the workshop that will take place in Barcelona in mid-2025; and (ii) the final workshop in Brussels at the end of 2026.*

5.4. Justify the proposed budget for implementing the required activities

-

5.5. Subcontracting

As a municipality, we are following laws and procedures of procurement given by laws of Slovak Republic: The contracting authority and the contracting entity are obliged to respect the fundamental principles of European Union law, enshrined in the Public Procurement Act, when awarding contracts. According to Article 9(3) of the Public Procurement Act of Slovak republic, the principle of equal treatment, the principle of non-discrimination between tenderers or candidates, the principle of transparency and the principle of economy and efficiency must be applied when awarding contracts.

Rules of public procurement are also available

Annex 2: Bank identification form

FINANCIAL IDENTIFICATION

PRIVACY STATEMENT https://ec.europa.eu/info/sites/info/files/about_the_european_commission/eu_budget/privacy_statement_en.pdf

By submitting this form, you acknowledge that you have been informed about the processing of your personal data by the European Commission for accounting and contractual purposes.

Please use CAPITAL LETTERS and LATIN CHARACTERS when filling in the form.

BANKING DETAILS ①

ACCOUNT NAME ②	MESTO KOSICE
IBAN/ACCOUNT NUMBER ③	SK03 5600 0000 0004 4248 6001
CURRENCY	EUR
BIC/SWIFT CODE	KOMASK2X
BRANCH CODE ④	
BANK NAME	PRIMA BANKA SLOVENSKO, a.s.

ADDRESS OF BANK BRANCH

STREET & NUMBER	HODZOVA 11
TOWN/CITY	ZILINA
POSTCODE	01011
COUNTRY	SLOVAKIA

ACCOUNT HOLDER'S DATA

AS DECLARED TO THE BANK

ACCOUNT HOLDER	MESTO KOSICE
STREET & NUMBER	TRIEDA SNP 48A
TOWN/CITY	KOSICE
POSTCODE	04001
COUNTRY	SLOVAKIA

REMARK

BANK STAMP + SIGNATURE OF BANK REPRESENTATIVE ⑤

DATE (Obligatory) 20. 9. 2024

SIGNATURE OF ACCOUNT HOLDER (Obligatory)

① Enter the final bank data and not the data of the intermediary bank.

② This does not refer to the type of account. The account name is usually the one of the account holder. However, the account holder may have chosen to give a different name to its bank account.

③ Fill in the IBAN Code (International Bank Account Number) if it exists in the country where your bank is established

④ Only applicable for US (ABA code), for AU/NZ (BSB code) and for CA (Transit code). Does not apply for other countries.

⑤ It is preferable to attach a copy of RECENT bank statement. Please note that the bank statement has to confirm all the information listed above under 'ACCOUNT NAME', 'ACCOUNT NUMBER/IBAN' and 'BANK NAME'. With an attached statement, the stamp of the bank and the signature of the bank's representative are not required. The signature of the account-holder and the date are ALWAYS mandatory.

Prima Banka

Prima banka Slovensko, a.s. - pobočka Košice
Hodžova 11, 010 11 Žilina, IČO: 31575951, IČ DPH: SK2020372541
Obchodný register Okresného súdu Žilina, oddiel: Sa, vložka č.: 148/L
www.primabanka.sk

Vážený klient
Mesto Košice
Trieda SNP 48A
040 11 Košice - Západ

VÝPIS Z ÚČTU

Výpis číslo 160 z účtu 0442486001 - KOSICE MESTO v mene EUR za obdobie: 17.08.2024 - 19.08.2024
IBAN SK03 5600 0000 0004 4248 6001
Produkt: Účet samosprávy Doručovanie výpisu: Elektronicky
Predchádzajúci výpis zo dňa 16.08.2024 mal zostatok
Počet kreditných položiek v sume spolu
Počet debetných položiek v sume spolu
Nový zostatok na vašom účte

Annex 3: Application form

CLIMAAX Open Call

Submitted by:
Last update: 22/03/2024 03:34:52
Application ID:

Basic Information

Project Acronym:

SCOPE

Project Title:

Sustainable Climate Outcomes for People of Eastern Slovakia

Name and Surname of the Contact person:

Contact person email address:

Contact person phone number:

General information about Applicant

Legal name of the entity:

Mesto Kosice / City of Kosice

VAT number:

SK2021186904

Country:

■ Slovakia

Address of the entity:

Trieda SNP 48A, Kosice, 04011, Slovakia

*Website URL (https://... or http://...):

https://www.kosice.sk

Are you a public body or non-profit legal entity representing a local/regional community? (Please note that if you answer "no", your application will be considered ineligible):

■ Yes

Are you a CLIMAAX partner, affiliate or employee? (Please note that if you answer "yes," your application will be considered ineligible).:

■ No

Do you have a 'Gender Equality Plan'? Public bodies, higher education institutions, and research organisations from EU countries and associated countries are obliged to have it. Please find more details in the section 5 of the Guide for Applicants:

■ Yes

* :

Applicant description. Please provide a brief description of areas of activity, experiences, history that are relevant for the call.:

Kosice is major municipality and serves as metropolitan and regional center for Eastern Slovakia. City of Kosice is signatory of Covenant of Mayor (2019), Aalborg Charter (2020), Green City Accord (2021), selected city for EU Mission 100 Climate neutral and smart cities (2022). In 2020 project Kosice 2.0 was supported by Urban Innovative Action (today's European Urban Initiative) and since 2023 city of Kosice is part of Cities for sustainability governance Action Planning Network URBACT IV programme.

The strategy to leverage Kosice, as the capital of the region, as a central hub for climate risk assessment and adaptation planning, offers a promising pathway to extend these critical resources to surrounding smaller municipalities. This approach acknowledges the disparities in resources, capacities, and access to information across the region, particularly in smaller municipalities that may lack the financial and technical means to conduct detailed climate risk assessments independently

Relevance

Which are the climate risks your region face and how do these motivate your application for CLIMAAX funding. Please explain:
- the major climate-related risks that will be considered in the proposed study and why they are considered relevant;
- how the CLIMAAX approach can help in improving the local knowledge and awareness of these risks;
- how the work proposed can help to understand the vulnerability to climate change in the applicant's region/community
- what are, so far, the main difficulties you experienced in facing climate adaptation and climate-related risk assessment in your region/community

max 4000 characters:

In our application for the CLIMAAX project, we aim to address a spectrum of climate-related risks that are of paramount concern to the Kosice region. Our focus is on risks that not only present immediate challenges but also pose long-term threats to the sustainability and resilience of our community. These include flooding, extreme weather events, droughts, and the urban heat island effect. Each of these risks has been identified based on historical data, current trends, and projected future scenarios, underscoring their relevance to our region's unique geographical, socio-economic, and environmental context.

Flooding is a critical risk due to our region's river systems and topography, which have historically led to significant economic and social displacement. Extreme Weather Events, including heatwaves, storms, and heavy rainfall, have become more frequent and intense, directly impacting public health, infrastructure, and local economies. Droughts threaten our agricultural productivity and water security, while the Urban Heat Island Effect exacerbates heatwaves' impact in Kosice, increasing energy demand and affecting urban livability. Kosice region, due to shared border with Ukraine, faced several challenges with the sudden onset of migration waves triggered by war. This has created several challenges escalating already pre-existing climate risks.

The CLIMAAX approach, with its emphasis on localized climate risk assessment and adaptation planning, offers a promising pathway to enhancing our understanding and management of these risks. By leveraging thee existing knowledge and data with the framework provided by CLIMAAX, we anticipate a significant improvement in local resilience and awareness of climate-related risks. This approach will enable us to improve our existing and detailed risk assessments, identify vulnerable sectors and populations, and develop targeted adaptation strategies that are informed by the latest science and best practices in climate resilience. Moreover, it will allow continously update them with the latest data available.

By integrating climate risk assessments with socio-economic data, we will be able to map vulnerabilities across our community, identifying where and how climate change could exacerbate existing challenges or create new ones. This comprehensive analysis will inform the development of adaptation measures that are both effective and equitable, ensuring that no segment of our community is left behind.

However, our efforts to address climate adaptation and risk assessment have encountered several difficulties. The most significant among these is the lack of localized climate data and predictive models tailored to our region's specific conditions. This gap has made it challenging to accurately assess future risks and plan accordingly. Additionally, limited public awareness and understanding of climate risks have hindered community engagement and support for adaptation initiatives. Financial constraints and competing priorities have also posed significant barriers to implementing comprehensive adaptation strategies.

Through our participation in the CLIMAAX project, we aim to overcome these challenges by harnessing the project's resources, expertise, and networks. By doing so, we will not only enhance our region's resilience to climate change but also set a precedent for effective climate adaptation that can be replicated in other regions facing similar challenges.

Impact

Which will be the impact of the proposed activity in your region/community? Describe how the results of the study can substantially help in reducing their vulnerability to climate change by increasing risk knowledge and awareness and by supporting local policies (e.g. adaptation strategies or risk management plans) at local level.

max 2000 characters:

The results of our study, conducted within the framework of the CLIMAAX project, are poised to play a pivotal role in reducing the Kosice region's vulnerability to climate change. By focusing on improving risk knowledge and awareness and implementation of local policies, such as adaptation strategies and risk management plans, we aim to significantly enhance our community's resilience to the adverse effects of climate change.

One of the primary outcomes of our study will be the detailed mapping and analysis of climate-related risks specific to the Kosice region. By utilizing the advanced tools and methodologies provided by CLIMAAX, we will generate and regularly update localized climate risk data that is both accurate and actionable.

Kosice have already well established participatory approach including organization of educational workshops and public forums which will be used to to transfer knowledge and provide community feedback on the findings, ensuring that the knowledge permeates all levels of our community. By increasing awareness, we aim to foster a culture of preparedness and proactive adaptation among residents and stakeholders, empowering them to take informed actions to mitigate their vulnerability to climate impacts.

Moreover, the study's results will support the integration of climate risk

considerations into all aspects of local governance and planning. This holistic approach ensures that climate adaptation and risk management are not standalone efforts but are embedded within the broader framework of regional development, urban planning, and social welfare policies.

In summary, the outcomes of our study under the CLIMAAX project will be instrumental in reducing our vulnerability to climate change. By enhancing risk knowledge and awareness and providing a solid evidence base for local policies, we will empower our community to navigate the complexities of climate adaptation with confidence and strategic foresight.

Which are the main stakeholders and beneficiaries of the proposed study? max 1000 characters:

Main stakeholders and beneficiaries are located in several stakeholders groups:

Municipalities - City Of Kosice, 22 city districts of City of Kosice, 40+ smaller municipalities (villages and settlements) in Kosice County, which surrounds City of Kosice, Kosice Region

City owned organisations: Municipal forests, Greenery maintenance, Utility of housing, Creative Industry Kosice, ZOO Kosice

Institutions: Slovak Water Management Company, Botanical garden Kosice, KRI - Climate and Development Institute,

Civic Associations: ETP Slovakia, DEDO foundation, Klima ta potrebuje/Climate needs you

Universities: Technical University Kosice, UPJS University Kosice

Can your region/community be considered as highly vulnerable to climate change impacts? Please provide evidence and/or examples. Please check the Guide for Applicants at

for information on highly vulnerable regions.
max 2000 characters:

As one of the 20 poorest regions in the EU, with a GDP of just 51% relative to the EU average, Eastern Slovakia's economic fragility significantly limits its capacity to respond to and recover from climate-related events. This economic status reduces the ability to invest in necessary infrastructure improvements, resilience-building initiatives, and adaptation strategies for mitigating climate change impacts.

European Commission has identified the region as being at medium risk of migration, brain, and talent drain, specifically in the 15-39 age group. This demographic challenge exacerbates the region's economic vulnerabilities. It diminishes its human capital, which is crucial for innovation and implementing effective climate change mitigation and adaptation measures.

Energy poverty is particularly relevant in Eastern Slovakia, affecting a much more

significant percentage of the population than in other countries or regions within Slovakia, such as the West-Slovak region. This widespread energy poverty, combined with the highest ethnic and linguistic heterogeneity in the country, the demographic prediction of a rapidly ageing population, and the economic disparity with the rest of the country, places a large proportion of the local population at increased risk.

Slovakia records high values in air pollution compared to other state members of the EU. As Kosice is the second largest town in Slovakia and the centre of variety of industries, mainly metallurgical and partly automotive industries, significantly contribute to climate change rather than aiding in its mitigation efforts.

In the context of vulnerability within border areas and geopolitical events, the Kosice region experienced significant shifts which benefited in a negative way to increase the vulnerability to climate change impact. Due to geographical location, recent events escalating in the ongoing conflict in Ukraine affected Kosice as the largest city neighbouring the Ukrainian border.

Can your region/community be considered as a regions/community with limited resources to increase climate or disaster resilience. Please provide evidence and/or examples. Please check the Guide for Applicants at

for information on regions with limited resources.
max 2000 characters:

The Kosice larger urban cluster faces significant challenges in enhancing climate and disaster resilience, compounded by limited resources, socio-economic dynamics, and geopolitical factors. Despite active participation of Kosice in climate adaptation efforts, there is an emerging need to keep building specialised knowledge and expertise to maximise our capacity for resilience planning and implementation. An ageing population adds complexity to our climate resilience efforts, as older individuals are more susceptible to extreme weather events, increasing demand on healthcare and social services.

Economic migration, both internal and from neighbouring regions, strains resources and necessitates integrated support systems for a cohesive community response. Proximity to the Ukrainian border, amidst ongoing conflict, introduces additional pressures, requiring adaptive strategies to manage refugee influxes and cross-border environmental impacts. Collaboration and coordination challenges further impede cohesive action across government, private sector, and community levels, essential for a unified resilience strategy. Addressing these multifaceted challenges requires a comprehensive approach, leveraging external support like the CLIMAAX project, to build financial, technical, and human capacities, ensuring our region can navigate and mitigate the complexities of climate change and disaster resilience effectively.

In conclusion, the various challenges faced by the Kosice region paint a clear picture of high vulnerability to climate change impacts. From economic fragility hindering investment in total resilience-building measures to demographic shifts affecting human capital and societal resilience, the region faces significant obstacles in adapting to changing climatic conditions.

*What is your region's Vulnerability Index (VI)? Please provide your answer based on the regional composed Vulnerability Index produced by the JRC Disaster Risk Management Knowledge Centre (DRMKC) at NUTS2 and NUTS3, which is considered a proxy of the vulnerability and adaptive capacity at the regional/local scale. It is available through the Risk Data Hub

max 500 characters:

We exhibits moderate to high vulnerability across multiple dimensions according to Risk Data Hub. Particularly physical vulnerability at 6.84 is high and other indicators being at: Social (4.08), Economic (5.51), Political (5.41), Environmental (5.66), and Physical (6.84). Overall NUTS3 vulnerability score of 5.5.

These scores highlight significant challenges, necessitating comprehensive strategies to enhance regional resilience and reduce susceptibility to climate-related and other risks.

Implementation

What resources (including team and/or external services) and skills do you have to effectively manage and lead local climate risk assessments following the 3 phases methodology reported in the call? Provide evidence for each one of the 3 phases of data/models availability, evidences from previous studies, methodologies. - Phase 1: Application of the CLIMAAX common methodology Framework; - Phase 2: Refinement and improvement of the multi-risk assessment carried out in Phase 1 using local data of higher resolution and detail; - Phase 3: Exploration of potential adaptation options and relevant actions at local scale to address the risk and vulnerabilities identified from the multi-risk analysis carried out in Phases 1 and 2

max 2000 characters:

Kosice team has several departments dealing with environmental projects and strategic development of the city and region. Several local projects aimed at climate action include the public's help in creating more climate-safe cities or creating more resilient communities and making progress in climate change monitoring.

Phase 1 - Our primary focus during this phase is to define clear objectives and criteria for the assessment process. Our attention is drawn to the city and its immediate

surroundings, which have been experiencing challenges due to heavy precipitation and heat waves. These climate-related occurrences have been extensively documented through various local and regional projects. These projects have provided valuable data, including index calculations that measure the community's exposure to these hazardous conditions.

Phase 2 - The plan is to review the findings of a previous phase with the possibility of identifying areas of improvement based on the obtained results. We expect to gather multidisciplinary experts with the aim of data analysts (collection, sorting, and processing of spatial and numerical data). We plan to establish cooperation with risk and vulnerability experts on local and regional levels. Additional external expertise will consist of Python programmers, webGIS developers and spatial modellers. In addition, the Integration of Copernicus data is also expected to be outsourced, as well as the adaptation of the CLIMAAX workflow to local needs.

Phase 3 - Our strategy is to identify adaptation options and for further actions to be implemented primarily by the internal team. This phase involves identifying structural and non-structural measurements like designing water retention measures and creating or updating early warning systems. Moreover, we recognise the importance of community engagement through community outreach programmes, which will involve a broader spectrum of different voices and points of view.

Describe the plan for implementing the required activities in the 3 phases

Provide evidence for each one of the 3 phases:
- Phase 1: Application of the CLIMAAX common methodology Framework;
- Phase 2: Refinement and improvement of the multi-risk assessment carried out in Phase 1 using local data of higher resolution and detail;
- Phase 3: Exploration of potential adaptation options and relevant actions at local scale to address the risk and vulnerabilities identified from the multi-risk analysis carried out in Phases 1 and 2

What key elements contribute to the effectiveness of the plan?

max 2000 characters:

Phase 1 (M1-6)
The first phase will focus on defining the project management plan and applying the CLIMAAX CRA. Main tasks:
- Detailed project management plan,
- Risk scoping phase with the involvement of local authorities and sector representatives,
- Familiarisation with the CLIMAAX Toolbox, data requirements and synergies with local specificities (data, policy, strategy).
- Executing CLIMAAX Toolbox for selected (scoping phase) risks .
- Interpretation of results, presentation to local stakeholders. Gaps and local needs assessment.

Phase 2 (M7-18)
In the second phase, we will refine our initial assessments using high-resolution local data. Our access to detailed climate and socio-economic data from local government bodies, meteorological stations, and local downscaled climate projections will be the main driver

enabling us to enhance the accuracy and sustainability of our risk assessments through our own adaptation of the CLIMAAX workflows. The main activities:
- Local data gathering and processing
- Stakeholders revision/co-design of the local CRA workflow
- Adaptation of the CLIMAAX workflows and toolbox
- Computation of local CRA
- User-oriented Interpretation of the results with key stakeholders

Phase 3 (M19-22)
The final phase focuses on exploring and implementing adaptation options and actions to address identified risks and vulnerabilities.
- Build on ongoing participatory approach to define viable adaptation strategies, communication and engagement campaign
- Translate CRA results into actionable measures at different levels city/region life
- Identify CLIMAAX driven adaptation success stories for replication in other regions/sectors
- Policy recommendations (adaptation strategy, civil protection, planning and building code)

Resources

---

*Budget:

Not answered yet

(1) Personnel costs:

64980

(2) Purchase costs (travel,/equipment/other goods, work or services):

26200

(3) Subcontracting:

58000

(4) Overheads (25% of sum of 1 + 2):

22795

(5) Total costs (sum of 1 + 2 + 3 + 4):

171975

(6) Requested amount (100% of 5. Maximum amount 300 000 Euro):

171975

Justify the proposed budget for implementing the required activities in the 3 phases. Please justify the resources you requested in terms of:
- Personnel costs, (average PersonMonth cost and detail for the 3 phases)
- Subcontracting costs (detail of the activities you foreseen to be subcontracted)
- Travels

2000 characters:

max. Personnel - total 64.980 euros - -

Internal Team

Project manager + project team member + project team member - 30% FTE per person (approx 780 euros)

3 person x 22 months x 780 euros - 51.480 euros

External experts

Hourly fee - 30 euros
Total expert work hours needed - 450

450 hours x 30 euros - 13.500 euros

- - Purchase costs - total 26.200 euros - -

Stakeholders meetings (utilities and rents) 7.200 euros
Datasets 14.000 euros
Equipment (instruments & devices) 5.000 euros

- - Subcontracting - total 58.000 euros - -

Data collection 11.000 euros
Data analysis 11.000 euros
Data visualization 11.000 euros
Field research & mapping 25.000 euros

- - Phase 1 - - total 48.800 euros

Internal team
3 person x 6 months x 780 euros - 14.040 euros

External experts
Total expert work hours needed - 150
150 hours x 30 euros - 4.500 euros

Stakeholders meetings 2.400 euros

Datasets 7.000 euros
Equipment 2.500 euros

Data collection 5.000 euros
Data analysis 2.000 euros
Data visualization 2.000 euros
Field research & mapping 9.000 euros

- - Phase 2 - - total 71.180 euros

Internal team
3 person x 12 months x 780 euros - 28.080 euros

External experts
Total expert work hours needed - 200
200 hours x 30 euros - 6.000 euros

Stakeholders meetings 3.600 euros
Datasets 5.000 euros
Equipment 1.500 euro

Data collection 5.000 euros
Data analysis 6.000 euros
Data visualization 5.000 euros
Field research & mapping 11.000 euros

- - Phase 3 - - total 29.560 euros

Internal team
3 person x 4 months x 780 euros - 9.360 euros

External experts
Total expert work hours needed - 100
100 hours x 30 euros - 3.000 euros

Stakeholders meetings 1.200 euros
Datasets 2.000 euros
Equipment 1.000 euros

Data collection 1.000 euros
Data analysis 3.000 euros
Data visualization 4.000 euros
Field research & mapping 5.000 euros

Declaration of Honour

*Please read carefully the statements below. You will not be able to change the statements after the deadline. By ticking the boxes below, I confirm that::

Not answered yet

I have read and understood the information about the project, as provided in the Guide for Applicants (GfA):

■ Yes

I acknowledge that the evaluators and the European Commission and its bodies and agencies may have access to the data collected under the open call:

■ Yes

The data provided in the application form are true and up-to-date:

■ Yes

The entity I represent meets the eligiblity conditions described in the GfA, in particular is a public bodies or non-profit legal entities representing a local/regional community:

■ Yes

There is no conflict of interest between the entity I represent and any of the consortium partners:

■ True

*Moreover, the entity I represent , or persons with power of representation, decision-making or control over the aforementioned legal entity::

Not answered yet

is/ are not listed on the EU sanction list: https://www.sanctionsmap.eu, which

means that is/are not subject to EU restrictive measures under Article 29 of the Treaty on the European Union (TEU) and Article 215 of the Treaty on the Functioning of the EU (TFEU);:

■ True

is /are not bankrupt or being wound up, is not having its affairs administered by the courts, has not entered into an arrangement with creditors, has not suspended business activities, is not the subject of proceedings concerning those matters, or is not in any analogous situation arising from a similar procedure provided for in national legislation or regulations; is/are not meeting national regulations regarding bankruptcy;:

■ True

has/have not been convicted of an offence concerning their professional conduct by a judgment which has the force of res judicata;:

■ True

has/have not been guilty of grave professional misconduct proven by any means which the contracting authority can justify, including by decisions of the European Investment Bank and international organisations;:

■ True

is/are in compliance with their obligations relating to the payment of social security contributions or the payment of taxes in accordance with the legal provisions of the country in which it is established or with those of the country of the contracting authority or those of the country where the contract is to be performed:

■ True

has/have not been the subject of a judgment which has the force of res judicata for fraud, corruption, involvement in a criminal organisation or any other

illegal activity, where such illegal activity is detrimental to the Union's financial interests:

■ True

is/are not subject to an administrative penalty for being guilty of misrepresenting the information required by the contracting authority as a condition of participation in a grant award procedure or another procurement procedure, or failing to supply this information, or has/have not been declared to be in serious breach of their obligations under contracts or grants covered by the Union's budget:

■ True

I did not make false declarations in supplying the information required, as a condition of participation in the Open Call or do not fail to supply this information:

■ True

I voluntarily agree to be registered at CLIMAAX Community at and I understand that I can delete my profile from the above-mentioned Community by informing the CLIMAAX Team via helpdesk mail:

■ Yes

Processing of personal data

I confirm that I read and understood the information clause concerning processing of the personal data provided above.:

■ Yes

I confirm that I have legal basis for processing personal data of the team members listed in the application form.:

■ Yes

I will pass the information clause provided above to all team members mentioned in the application form.:

■ Yes



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Annex 4: Guide for applicants

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CLIMAAX
climate ready regions

GUIDE FOR APPLICANTS (GfA)

CLIMAAX 2nd Open Call

OPEN CALL FOR REGIONS and COMMUNITIES

Submission of applications starts on the

1st of July 2024 at 13:00 Brussels Time

Submission deadline:

15th of October 2024 at 17:00 Brussels Time

PUBLIC

HORIZON-MISS-2021-CLIMA-02-01 - Development of climate change risk assessments in European regions and communities based on a transparent and harmonised Climate Risk Assessment approach.

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MUST READ BRIEF

Applicants to the CLIMAAX 2nd Open Call are required to go through all the call documentation in order to get a full understanding of the call and the application process.

This is a call for applications from **regional and local public bodies, as well as communities**[1] (please review more details in section 5.1 below).

The call is open between the **1st of July 2024**, at 13:00 Brussels Time and the **15th of October 2024**, at 17:00 Brussels time. The call is launched by the project CLIMAAX, supported by **Horizon Europe.**

The 2nd Open Call, described in this document, provides access to financial and practical support to carry out regional Climate Risk Assessments. The Guide for Applicants **includes applicant guidelines, eligibility and evaluation criteria, and details regarding the methodological framework and toolbox** provided by CLIMAAX to support the selected beneficiaries.

The call is open to applicants from **regions and communities interested in assessing multiple climate risks using the CLIMAAX methodological framework and toolbox**. Selected projects are expected to involve specialized technical knowledge, where necessary from external services contracted by public authorities.

The CLIMAAX 2nd Open Call is targeting to fund at least 27 projects.

Characteristics of the CLIMAAX 2nd Open Call are in particular:

- Maximum funding per project: up to EUR 300 000 (for details see **Annex 2**)
- Duration of the project implementation: 22 months
- Total budget for this call: **EUR 6 488 852 (**
- Single stage submission: deadline 15th of October 2024 at 17:00 Brussels Time.
- Online submission through:
- Proposals only in English language
- Budget in EURO

Please go through the following resources to get the complete overview:

- Guide for Applicants, which includes all the requirements that must be met to be considered as eligible to participate in the 2nd CLIMAAX Open Call
- Project website
- Frequently Asked Questions Document
- Application Form Template (Annex 3.)
- CLIMAAX supporting Toolbox available at

In case of doubts or questions after going through the available resources, feel free to reach out by email at or write to us through the CLIMAAX Open Call Helpdesk.

[1] Communities are groupings of people with social ties, shared values or interests, engaged in joint action. They can be of different sizes and are not always geographically confined. They should apply through a legal entity and meet all the requirements of the CLIMAAX 2nd Open Call.

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1. ABOUT CLIMAAX

The **CLIMAte risk and vulnerability Assessment Framework and Toolbox** (**CLIMAAX**, is a Horizon Europe project that provides financial and practical support to carry out regional climate risk analyses to improve climate and emergency risk management plans.

CLIMAAX is oriented to significantly contribute to the European Commission Climate Change Adaptation **Mission's first objective (MO1),** . The **CLIMAAX** project provides conceptual and practical support for assessing the climate change impacts at regional and local scales as a comprehensive basis for climate risk management plans and climate change adaptation action.

It is designed to contribute to the harmonization and consolidation of the practice of climate-change risk assessments, providing practical guidance to planning, implementation and evaluation of climate adaptation strategies following the logic of the 6-step Regional Adaptation Support Tool (RAST[2]) proposed by the European Environment Agency, leaving a legacy for upcoming European initiatives to support the thousands of European regions. The project started in January 2023 and will run until February 2027.

CLIMAAX builds its activities upon existing state-of-the-art risk assessment frameworks, methods and tools, and promotes the use of datasets and service platforms for local and regional scale deployment. It fosters an inclusive, harmonized and robust approach to undertaking, or pursuing, the assessment of climate risk through a **Framework for climate risk assessment** (see Figure 1.) and a supporting **climate change multi-risk assessment Toolbox** (see Annex 1)**.** Both the Framework and the Toolbox are designed to support regions and communities **to assess climate-related risks** to inform the design of and improve local Risk Management Plans and Climate Adaptation Strategies to increase regional resilience.

[2] see https://climate-adapt.eea.europa.eu/en/knowledge/tools/adaptation-support-tool

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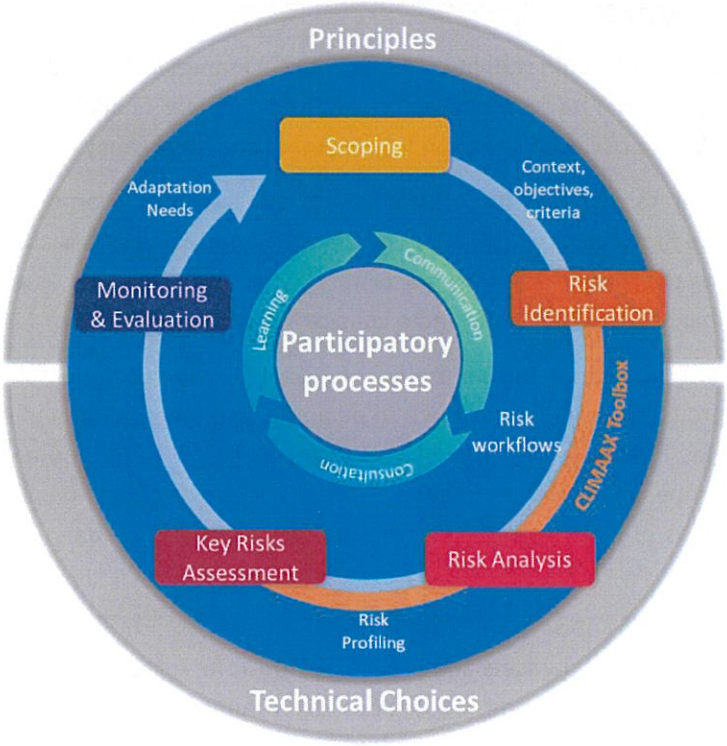


*Figure 1 The CLIMAAX framework for Climate Risk Assessment. The framework connects strategic CRA components, based on established and widely assessed principles (e.g., related to governance, equity, social justice), technical choices (e.g., for parameters, climate scenarios etc.), and **participatory processes** (learning, communication, consultation). These three conceptual dimensions frame the **climate risk assessment steps** which leads to information on climate risk that can support adaptation and risk management planning. Source: CLIMAAX consortium.*

CLIMAAX includes **two Open Calls** to fund selected beneficiaries to:

- implement the climate risk assessment framework and toolbox at **regional and local scales** to conduct **climate change multi-risk assessments**,
- improve their **regional and community risk assessment strategies**, and
- demonstrate their uptake into **improved emergency and Risk Management Plans (RMPs)** and/or **community-based climate adaptation strategies** to cope with the increase of the frequency and intensity of climate and weather events affecting key community systems due to climate change.

The CLIMAAX project Consortium is led by STICHTING DELTARES and is composed of 19 partners (see

The CLIMAAX 1st Open Call is CLOSED.

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2. CLIMAAX OPEN CALLS

The two open calls provide **substantial financial support and guidance to more than 60 regions/local authorities or communities.** As such it supports the integration of regional/local data about hazards, exposure and vulnerability incorporated in the Risk Data Hub of the Disaster Risk Management Knowledge Centre (DRMKC) of the Joint Research Centre, leaving a legacy for upcoming European initiatives.

This document describes the details of the **2nd CLIMAAX Open Call that runs from the 1st of July 2024, 13:00 (Brussels time) to the 15th of October 2024, 17:00 (Brussels time)** to fund selected beneficiaries. The document includes the **criteria for eligibility and selection of the applicants**, the guidelines for the preparation of the applications, and the details of the methodological framework and the toolbox provided by the **CLIMAAX** project to support their work.

Open Calls are addressed to single applicants from **regional/local authorities and communities** executing their activities in areas with high vulnerability to climate change impacts and interested in implementing the **CLIMAAX** methodological framework and the supporting Toolbox to carry out regional and local climate change multi-risk assessments.

Given the nature of the work required for this implementation, the selected projects will demand the participation of technical experts in risk assessment, with climate knowledge and data processing skills. This may require the support of external services to implement the regional/local multi-risk climate assessments contracted by the public authorities/institutions selected as beneficiaries, following their national procedures for public procurements (only external services strictly connected to the execution of multi-risk climate assessments will be eligible as subcontracting costs).

If necessary, **CLIMAAX** will provide support to the selected beneficiaries in the procurement process to implement their multi-risk climate assessments.

3. WHAT DO WE OFFER?

Through the 2nd Open Call, **CLIMAAX will support regional/local authorities and communities** in regions/areas with **high vulnerability to climate change impacts** requiring the implementation of Climate Change Adaptation strategies and/or updated Risk Management Plans.

The selected beneficiaries of the CLIMAAX 2nd Open Call will participate as sub-projects in the **CLIMAAX** project and will have up to **22 months to carry out their projects.**

The selected beneficiaries will receive:

- **Up to EUR 300 000 lump sum funding** through the **CLIMAAX 2nd Open Call** to implement the methodological framework and use the supporting Toolbox to conduct multi-risk climate assessments. For more details about the limits for the grant amount, see section 6 and Annex 2.
- Access and support to use the **multi-risk assessment Toolbox (https://handbook.climaax.eu/intro.html)**, including data and risk assessment procedures, enabling regions and communities to assess the effects of climate change on a diversity of risks and impacts at the regional scale across Europe (see more details in Annex 1). This support will include:

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- **Methodological guidance** on the tools and on the technical details of the multi-risk assessment methodologies, and their implementation at the location of interest;
- **Technical advice and support** through the **CLIMAAX helpdesk** to resolve issues related to the use of the Toolbox, including how to use local data and approaches.

- Access to the outreach and engagement events organised by **CLIMAAX** intended to promote shared learning and mutual exchange through the project Community of Practice.

Applicants have to submit a proposal through the following website:

The **maximum total budget** available for the **CLIMAAX 2nd Open Call for Regions and Communities is 6 488 852 EUR.**

Please check the question: "Which countries and NUTS2 were funded in the CLIMAAX 1st Open Call?" in the Frequently Asked Questions Document.

4. PREPARING THE APPLICATION

To support the preparation of applications, **CLIMAAX** will host a webinars on 'How to apply'. They will be announced on the CLIMAAX website and the Open Call application websites. The details of the Open Call will be included in the Call Announcement which will be shared through the Funding and Tenders Portal of the European Commission, the Implementation Platform of the Mission on Adaptation to Climate Change (MIP4ADAPT) and disseminated at relevant events, both online and in person.

To facilitate the preparation of the proposal text, you will find **a template of the online application form in Annex 3.**

5. ADMISSIBILITY AND ELIGIBILITY CRITERIA

We will evaluate the admissibility and eligibility of all proposals. These should be submitted before the deadline via our online application form available at All the admissibility and eligibility criteria are listed in this section of the Guide for Applicants. Applications not complying with these criteria will be excluded and marked as ineligible.

5.1. Who are we looking for?

In the CLIMAAX 2nd Open Call, we are looking for single applicants:

- **Public bodies** (including regional and local authorities, municipalities, as well as public agencies) with a **mandate or responsibility to develop climate-related multi-risk assessments** and risk management plans in a given region or community.
- **Non-profit legal entities**, registered prior to the signature of the Subgrant Agreement, **representing a local/regional community**[3], of people with social ties, shared values or interests, engaged in joint action developing their activities in areas with vulnerability to climate change impacts and challenged by climate change adaptation.

A mandate to conduct climate-related multi-risk assessments and risk management plans means that the applicant public body or non-profit legal entity has the authority to do so by formal order from a

[3] Communities are groupings of people with social ties, shared values or interests, engaged in joint action. They can be of different sizes and are not always geographically confined. They should apply through a legal entity and meet all the requirements as explained above.

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superior court or official government act. This authority must be demonstrated through official/legal documents, such as a bill or regulation, a Service Charter, statutes, decrees or similar, either publicly available or attached to the application (section 5.2).

Municipalities, as the local authorities responsible for risk assessments and risk management plans, are exempt from demonstrating this mandate if they are the applicant.

The entities have to be established in any:

- EU Member States and its Overseas Countries and Territories or;
- Horizon Europe Associated Countries[4].

Applicants cannot be affected by any EU restrictive measures[5].

CLIMAAX partners, their affiliates or employees cannot submit an application to the CLIMAAX 2nd Open Call and are not eligible to act as subcontracted external service providers.

Following the implementation plan of the Mission (section 2), the priority will be to **support less developed regions that are more vulnerable[6] to climate change impacts and often have low adaptive capacity[7]**.

[4] Horizon Europe Associated Countries as of 25.06.2024: Albania, Armenia, Bosnia and Herzegovina, Faroe Islands, Georgia, Iceland, Israel, Kosovo, Moldova, Montenegro, North Macedonia, Norway, Serbia, Türkiye, Tunisia, Ukraine, United Kingdom. For the most up-to-date list please go to the first part of this document. For the avoidance of doubt, New Zealand are not eligible for this open call.

[5] The applicants who are subject to EU restrictive measures under Article 29 of the Treaty on the European Union (TEU) and Article 215 of the Treaty on the Functioning of the EU (TFEU - Please note that the EU Official Journal contains the official list and, in case of conflict, its content prevails over that of the EU Sanctions Map) are not eligible to participate in 2nd Open Call.
Following the Council Implementing Decision (EU) 2022/2506, as of 16th December 2022, no legal commitments can be signed with Hungarian public interest trusts established under Hungarian Act IX of 2021 or any entity they maintain. Affected entities may continue to apply to calls for proposals. However, in case the Council measures are not lifted, such entities are not eligible to participate in the CLIMAAX 2nd Open Call.

[6] Vulnerability is the propensity or predisposition to be adversely affected. Vulnerability encompasses a variety of concepts and elements including sensitivity or susceptibility to harm and lack of capacity to cope and adapt (IPCC, 2018 – SR Global Warming of 1.5 ºC).

[7] Adaptive capacity is the ability of systems, institutions, humans and other organisms to adjust to potential damage, to take advantage of opportunities, or to respond to consequences (IPCC, 2018 – SR Global Warming of 1.5 ºC).

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5.2. What must the Application contain? What are the activities that qualify for financial support?

The proposals should be aimed at generating regional/local multi-risk climate assessment, including activities to complete the three phases described below.

The application must contain the following elements:

- An executive description of the institution applying to the Open Call.
  A short description of why the region/municipality/community can be considered as highly vulnerable to the effects of climate change and why the expected implementation of the proposed multi-risk climate assessments will lead to a significant contribution to better adapt the activities/key community systems of the applicant to climate change.
- Mandate (section 5.1):
  - For Municipalities: No additional documents are required.
  - For other public bodies: An official document demonstrating the mandate to develop climate-related multi-risk assessments and risk management plans in a given region or community (bill or regulation, Service Charter, Decree, Statutes or the like).
  - Non-profit entities: a legal document that confirms the entity's legal representation of the community and outlines the community's activities or mission (Service Charter, Statutes or the like).
- A plan of action to complete the 3 phases of the project, including a timeline of the planned tasks (GANTT CHART).
- A budget including the identification and justification of the expected internal costs, the proposed costs for subcontracting (duly justified) and expected other costs. The requested amount of financial support cannot be higher than the maximum amount of financial support per country (described in Annex 2).
- The information required to evaluate the application criteria (see section 5 and section 7).

All documents must be uploaded to the application. Documents sent through other channels will not be accepted.

5.3. Mandatory phases in the application

The approach described in the proposal **must cover the following 3 phases** (see Figure 2., more details in the next sections):

- **Phase 1**: Application of the **CLIMAAX** common methodology Framework for climate change related multi-risk assessment applicable at regional/local scale in Europe using the Toolbox made available by the project (see Annex 1).
- **Phase 2**: Refinement and improvement of the multi-risk assessment carried out in Phase 1 using local data of higher resolution and detail than the (European scale) data available for the common approach. In this march phase, the **CLIMAAX** partners will offer guidance to the beneficiaries in performing regional/local high-resolution analysis and multi-risk assessments.
- **Phase 3:** Exploration of potential adaptation options and relevant actions at local scale to address the risk and vulnerabilities identified from the multi-risk analysis carried out in Phases 1 and 2, including improvement of current regional/local risk management plans and adaptation strategies.

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For a complete application, it is **mandatory** that the applicant proposes activities that address the three Phases, as described below.

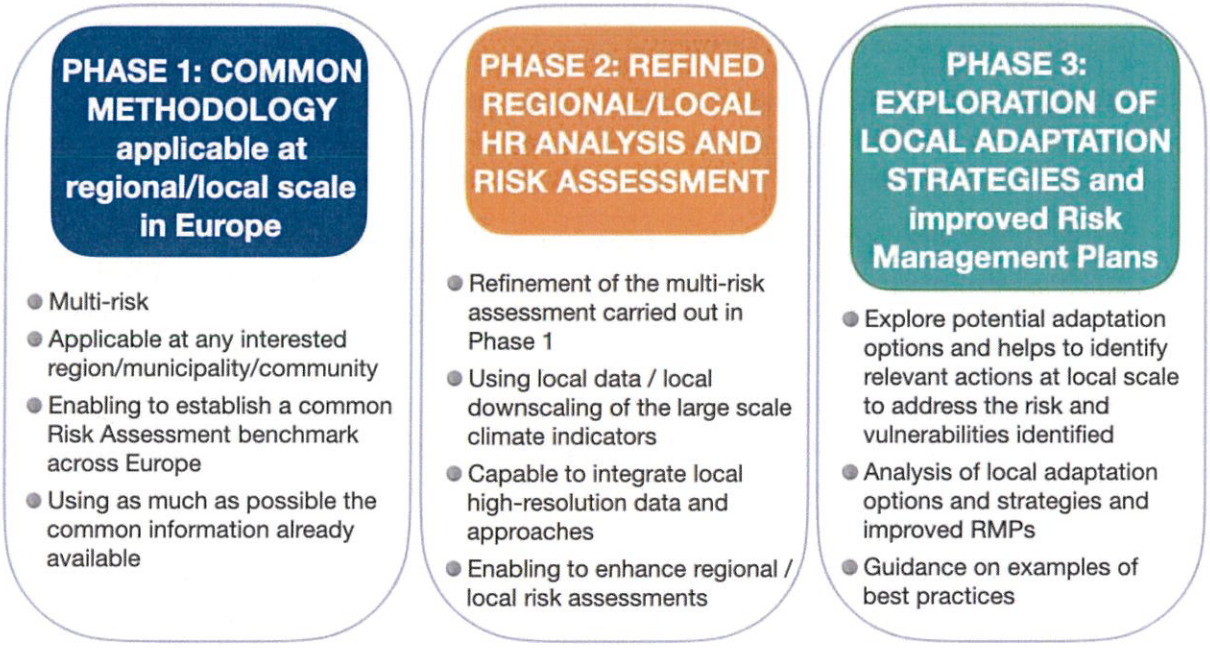


Figure 2 Summary of the 3-phase work to be included in the applicant's proposal.

The evaluation will consider the consistency between the quality of the proposed activities and the funds requested for performing it.

5.3.1. PHASE 1: application of the CLIMAAX common methodology

The first phase of the work to be done is the application of the CLIMAAX methodological approach using the CLIMAAX supporting Toolbox, containing data and guidelines for regional applications, to the applicant's region or community.

The purpose is that all regional assessments funded within the CLIMAAX project have a common set-up for calculating the expected change of impacts and risk using the same common methodological approach. This allows an inter-comparison of the assessment results at a regional/local European scale. It also provides a benchmark for the regional climate risk assessments from the second phase, which will be enriched by the utilization of local data and concepts.

This first phase will be performed using common European databases for past climate and climate projections. Also, common methodologies for calculating changes in frequencies of relevant climate indicators characterising the multiple hazards and their impacts are used, including multi-risk estimates based on reference exposure and vulnerability characteristics which have been implemented in the CLIMAAX Toolbox (see Annex 1).

5.3.2. PHASE 2: refined regional/local high-resolution analysis and risk assessment

The second phase of the work is the improvement/refinement of the risk assessment carried out in Phase 1. The proposal needs to be oriented to conduct the best possible climate change multi-risk assessment at a regional/local scale for the location/community of the applicant, with the aim of assessing the change in risks due to climate change, and providing support to design adaptation

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strategies and to improve local Risk Management Plans to increase regional resilience.

To that end, the applicants will include in their analysis local data (higher resolution, complementary data, representative detail, alternative methodologies) improving the local representativity of the results obtained by the common approach in Phase 1.

The degree of detail will depend on the location and the availability of previous studies and data. Therefore, the results of this second phase will probably not be comparable among the participating regions but will provide a much more textured and tailored assessment.

The local data may include information from downscaled regional climate scenarios or historical records, high resolution maps of the variables needed for the estimation of the impacts, locally developed methodologies, and better adapted definitions and estimates of exposure and vulnerability terms in the risk equation.

Applicants are strongly encouraged to use the best available data or produce downscaled products in the multi-risk assessment project. CLIMAAX will support implementations by offering guidelines and helpdesk assistance to choose the most appropriate implementation techniques adapted to the local conditions of the applicants.

Also, the use of local and refined methodologies, better tailored to the applicant's regional risk assessment, is strongly recommended. The comparison of the results obtained in both Phase 1 and Phase 2 will be considered a strong added value to the applicant's proposal.

Finally, in order to enrich the databases and knowledge about climate change effects in Europe, the applicants will be invited to make regional/local data used in their work available. A specific CLIMAAX 'user corner' will be created in the DRMKC Risk Data Hub of the Joint Research Centre to that end. This obviously only applies to data not restricted by privacy or other considerations.

5.3.3. PHASE 3: Exploration of local adaptation strategies and improved risk management plans

The third phase of the work to be done is to explore and discuss the use of the multi-risk analysis carried out in Phases 1 and 2 to potential adaptation options and relevant actions at the local scale to address the risk and vulnerabilities. This can be seen as a first step to move from the locally adapted risk and vulnerabilities assessments done in Phase 2 towards the identification of suited adaptation options and strategies following the logic of the 6 steps of the adaptation support tool proposed by the Mission Climate Change Adaptation[8].

It should include a description of the risk governance and present risk assessment procedures and risk management strategies in the location/region/community of the applicant and the identification of needs for adapting present risk management plans.

Using the results obtained in Phases 1 and 2, the applicant will propose an analysis of how to support the identification of actions for climate adaptation, build adaptive capacity, and improve the present regional/local risk management plans and strategies in the location/region of the applicant.

The CLIMAAX Toolbox will include links to a series of examples of adaptation measures, resulting from CLIMAAX pilot projects and connections to the European Communities of Practice.

[8] See https://climate-adapt.eea.europa.eu/en/knowledge/tools/adaptation-support-tool

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5.4. Ideal application

The ideal application proposes a detailed plan of activities to conduct the **three phases detailed here**, allowing a comparison of the results obtained through the proposed common methodology (results of Phase 1) with those obtained using detailed local data and locally adapted methodologies (results of Phase 2).

The illustration of how the climate multi-risk assessment can be the base to support the identification of actions for climate adaptation, improve existing risk management plans, and support proposals for funding programs aimed at the development of such strategies, or serve as leverage for the bankability of planned adaptation measures, will be highly appreciated.

Please, keep in mind that the objective of the call is to carry out a climate change multi-risk assessment on a regional/local scale, based on the CLIMAAX methodological framework, not to purchase equipment or the establishment of early warning systems.

5.5. How to apply? Ground rules.

If you want to apply to the **CLIMAAX 2nd Open Call**, please note that:

- We will only evaluate proposals submitted through the Open Call management tool before the deadline **(15th of October 2024 at 17:00 Brussels time)** (admissibility criterion). Upon receipt of each proposal, the system will send a confirmation of submission. The time recorded by the FundingBox Platform, as the submission time of the proposal, will be the official one. We will not admit any proposal sent after the deadline or/and submitted outside the dedicated tool.
- The completeness of the form is very important, as it won't be possible to add any further information after the deadline. It will not be possible to update any information or modify your proposal application after it has been submitted. The only exception is if a mistake has been made in the key administrative data (e.g. contact mail or phone, name of the company, etc.). In this case, the applicants have to contact us by email indicating the proposal ID, their username, and the data which should be corrected.
- **Only one proposal per applicant** can be submitted in CLIMAAX 2nd Open Call. If more than one proposal from the same applicant is identified, only **the last proposal** which has been submitted in order of time will be evaluated.
- Proposals must be written in **English** in order to be admitted (admissibility criterion). Only information provided in English will be admitted.
- All **mandatory sections** of the application form must be completed (admissibility criterion). The data provided should be actual, true, and complete and should allow for the assessment of the proposal. Additional material, not specifically requested in the online application form, will not be considered for the evaluation.
- Each proposal includes an estimated **budget** where the grant requested doesn't exceed the country-specific maximum allowed (see section 6).
- Despite the regional focus, the submitted project should be aligned with the objectives of the EU adaptation strategy and EU Mission on Adaptation to Climate Change and **also align with National Strategies and Plans**.

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- We will take into consideration the existence of a potential **conflict of interest** among the applicant and one or more **CLIMAAX** Consortium partners. Consortium partners, their affiliated entities, employees and permanent collaborators cannot take part in the open call. All situations of potential conflict of interest will be assessed case by case.
- **Healthy finances and a clean sheet are a must.** We don't accept entities that are under liquidation or are an enterprise under difficulty according to Commission Regulation No 651/2014, art. 2.18, or that are excluded from the possibility of obtaining EU funding under the provisions of both national and EU law, or by a decision of both national and EU authority. We also don't accept entities that are meeting national regulations regarding bankruptcy. The entire provision applies only to non-profit legal entities representing a local/regional community.
- **Acceptance of the open call rules:** to apply for this open call, the applicant has to accept its rules and regulations detailed in this Guide for Applicants.

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6. BUDGET OF THE PROPOSAL

All applications should include an estimated budget of the costs related to the work to be done to achieve the objectives of the proposal. The budget should specify the portion of the internal costs executed by the beneficiary (strictly related to carrying out the activities in the sub-project and necessary for the sub-project implementation) and of the external costs (if any) covering services, purchases and subcontracting when required. It should also cover the travel costs of a representative presenting the results of the sub-project in at least one **CLIMAAX** workshop.

The cost/effectiveness of the proposed budget will be evaluated under the selection criterion IMPLEMENTATION (see section 7.3.3).

Only external services strictly connected to the execution of multi-risk climate assessments will be eligible as subcontracting costs. If required they must follow the national legislation on the procedures to select the subcontractor and apply the basis of the best value for money (or if appropriate the lowest price). Subcontracting should follow the rules avoiding potential conflict of interests.

The EU contribution that each third-party sub-project may receive shall cover the estimated budget included in the proposal following a lump sum scheme. It will include internal costs and external services and subcontracting when required, and cannot exceed the maximum amount allowed for the country of the applicant (see section 6.1).

As a reference, the **average implementation cost** has been estimated in an interval between 115.000 to 240.000 € for each selected project, depending on the country of the applicant.

6.1. Criteria for calculating the maximum amount of the financial support

The **maximum amount** of financial support per selected project is up to **300.000 EUR (funding limit). However,** taking into account the cost of living in the country in which the applicant is located, the **actual maximum amount** of financial support for each separate applicant is calculated using the Country Correction Coefficient (CCC) of each applicant's country. The calculations follow those foreseen in the Horizon Europe - Marie Skłodowska-Curie actions (MSCA) Programme[9] following the equation:

maximum amount = 300.000 EUR (CCC=1,32)

actual maximum amount granted = 300.000 EUR x CCC/1.32 (but cannot exceed 300.000 EUR).

The maximum amount of financial support will then range between 115.227 EUR (CCC=0,507) and 300.000 EUR (CCC=1,32) per third-party sub-project depending on the CCC of the applicant's country. See section 8 for the payment arrangements. Table 1 provides examples of the maximum amount of funding to be granted to a beneficiary depending on their home country. In the Frequently Asked Questions Document you will find the exact maximum amount per each country (please check the Annex 2 in this document.

[9] https://ec.europa.eu/info/funding-tenders/opportunities/docs/2021-2027/horizon/wp-call/2021-2022/wp-2-msca-actions_horizon-2021-2022_en.pdf

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	LOWER-RATE SCENARIO (NORTH MACEDONIA)	MEDIUM-RATE SCENARIO (BELGIUM)	HIGHER-RATE SCENARIO (DENMARK)
Maximum grant amount	300.000 €		
MSCA 2021-22 Country Correction Coefficient (CCC)	0,507	1	1,32
Maximum total grant amount (Phase 1+2+3)	115.227 €	227.270 €	300.000 €

Table 1 Examples of maximum amounts to be granted to the applicant depending on the Country Correction Coefficient

The proposed budget must be established of the following elements:

(1) Personnel costs
(2) Purchase costs (travel/equipment/other goods, work or services) (minimum 1 travel costs of a representative presenting the results of the sub-project in at least one CLIMAAX workshop)
(3) Subcontracting – optional
(4) Overheads (25% of the sum of 1 + 2) – this is a mandatory cost comprising 25% of the Personnel costs and Purchase costs.
(5) Total Cost (sum 1 +2 +3 +4)
(6) Requested amount (**cannot exceed** the maximum amount allowed for the country. Please check Annex 2)

7. HOW WILL WE EVALUATE YOUR PROPOSAL?

Our evaluation process is intended to be transparent, fair and equal to all applicants. We will evaluate the applications in 5 steps. As we expect a high number of applications for this call than can be granted, we are seeking suitable proposals for the project by prioritising quality over quantity. To ensure that your application meets this standard, we suggest providing all mandatory information, identifying the key points and how the proposal aligns with **CLIMAAX**'s overall scope.

7.1. Admissibility and Eligibility Check

Once the call is closed, we will check whether the proposals meet the admissibility and eligibility criteria set up in section 5. We will do it on the basis of the statements included in your proposal.

At this stage, the eligibility criteria are checked against a Declaration of Honour or self-declarations included in the application form. During the subsequent evaluation process, the eligibility criteria will be further verified (including the final formal check).

The proposals that do not comply with these criteria will be excluded.

As a result of the checking, a **List of Eligible Applications** will be produced.

7.2. In/Out Scope Screening

In order to make sure that our support helps in achieving optimal impact in the framework of the **CLIMAAX project**, it is important that all proposals match and clearly demonstrate the scope of the types of activities described in section 5.2.

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For this reason, the following aspects of your proposal will be checked to be considered as evaluable:

- The objectives of the proposal and the proposed activities included in the list of activities that can be funded must fit within the scope of the CLIMAAX 2nd Open Call.
- The implementation of **a climate multi-risk assessment** on its region or community is relevant to the applicant's activities.
- The proposal includes a **detailed description of the proposed work** covering the 3 phases **required** (see section 5.2).
- It includes an estimated budget where the grant requested doesn't exceed the country-specific maximum allowed (see section 6).

Each proposal will be reviewed by one expert from the CLIMAAX Open Call Selection Committee. It will assess if the proposal complies with the aspects above on a YES/NO basis and will provide reasoning in the cases where compliance is found to be too limited. The Selection Committee will meet to review the assessments, generate an 'In Scope List 'and confirm the rejected proposals.

Proposals that do not comply with any of the aspects described above will be rejected. The ones complying with all of them will move on to the independent individual evaluation stage.

We will inform applicants by email about the results of the admissibility and eligibility check, and the in/out scope screening.

7.3. Independent Individual Evaluation

At this stage, each proposal that passed the admissibility and eligibility and also in-scope screening will be evaluated by **two independent experts** from a **Pool of experts** from partners **CIMA**, **UPC**, **EURADA**, **DELTARES, MoIFI** and **INTC**. In case of need, additional experts from other partners, and external experts from national Civil Protection Authorities (CPAs), may be added to the pool provided absence of conflicts of interest.
As the purpose of the CLIMAAX 2nd Open Call is to **improve the climate resilience in European regions and communities, particularly promoting the regions with high vulnerability, limited resources and/or low adaptation capacity**, the applications will be evaluated according to the following awarding criteria:

- **Relevance;**
- **Impact;**
- **Implementation.**

7.3.1. Relevance

The relevance will be evaluated considering the following points:

- **Ambition:** Alignment with the objectives and activities as described in the call for proposals.
- **Dimension:** Contribution to local/regional long-term policy objectives, relevant policies and strategies, and synergies with activities at the European and national level aimed at increasing the resilience and the adaptive capacity of the regions and local communities in facing present and future climate aggravated risks.
- **Community engagement in climate change adaptation:** Relevance of the adaptation engagement of the community represented by the applicant entity (e.g., previous activities in multi-risk climate assessment, climate change adaptation strategies, or risk management plans addressing high-impact weather-induced events).

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- **European dimension**: Extent to which the sub-project will support EU strategies to fight Climate Change (such as the EU Adaptation Strategy), and in particular, the EU Mission on Adaptation to Climate Change objectives.

7.3.2. Impact

The impact will be evaluated considering the following points:

- **Vulnerability to Climate Change Impacts:** Priority should be given to regions or communities with activities in locations considered as highly vulnerable to climate change. The proposals should include evidence that the proposed region/municipality/community activities can be considered as highly vulnerable to climate change.
- **Limited resources:** Priority should be given to regions or communities with limited resources to increase climate or disaster resilience.
- **Expected impact of the project implementation**: Priority should be given to regions or communities in which the implementation of the proposed multi-risk climate assessments will lead to a significant contribution to the capacity to adapt to climate change by the activities/key community systems of the applicant. The proposals should include evidence that the proposed work to identify adaptation strategies or risk management plans can substantially help in reducing their vulnerability to climate change.

7.3.3. Implementation

The implementation will be evaluated considering the following points:

- **Team:** The applicants have to demonstrate their capacity to manage and lead local climate change multi-risk assessment and risk management plans, as well as their capacity to lead the implementation works. In case of need of external services, the description of the profile of the experts that will be included in the subcontracting procurement would be evaluated as part of this point.
- **Implementation Plan:** Quality and soundness of the implementation plan included in the application. Quality criteria may include the expected robustness of the resulting climate multi-risk assessment, use of multiple sources of information, the ability to increase understanding of local risk drivers, and the planned activities to include local data, models and analysis techniques.
- **Resources:** Demonstrate the quality and effectiveness of the resources assigned and of the cost/effectiveness of the budget proposed in order to achieve the objectives/deliverables of the subproject.

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7.3.4. Scores

The evaluators will score each criterion on a scale from 0 to 5:

0 = The proposal fails to address the criterion, or it cannot be assessed due to missing or incomplete information.
1 = Poor – The criterion is inadequately addressed or there are serious inherent weaknesses.
2 = Fair – The proposal broadly addresses the criterion, but there are significant weaknesses.
3 = Good – The proposal addresses the criterion well but there are a certain number of shortcomings.
4 = Very good – There are some shortcomings but overall, the proposal addresses the criterion very well.
5 = Excellent – The proposal successfully addresses all relevant aspects of the criterion with none or minor shortcomings.

Each evaluator will produce an Individual Evaluation Report. The final score for each of the 3 criteria Relevance, Impact and Implementation will be calculated as the average of the normalized scores provided by each evaluator, taking into account the mean and standard deviation of the evaluator, to avoid potential personal systematic bias.

The final score per application will be calculated as the sum of the scores for each individual criterion. The minimum threshold for individual criteria will be 3. The overall minimum threshold, applying to the sum of the three criteria scores, is 11,50 points.

After carrying out the Independent Individual Evaluation, the experts who have evaluated the proposals will join a Consensus Group, to agree on a common position, including comments and scores for all evaluated proposals.

The Consensus Group will specially discuss the cases where there is a significant divergence between the evaluators' scoring. In case no consensus is reached between the evaluators, an additional evaluator will be included to provide an extra evaluation.

Once the initial ranking is set, ties (if any) will be solved by following the highest score in the impact section.

All proposals obtaining a score above the threshold will move on to the next stage. Please note that this evaluation phase takes time as it implies a more personal, qualitative, and thus non-automated approach, which will lead to some expected delays in communication with the applicant.

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7.3.5. Extra points- low adaptive capacity (max 2 points)

Applications that are scored above the threshold will receive additional points to the overall score to give priority to regions or communities with high vulnerability and low adaptive capacity to climate change impacts.

This aspect will be objectively evaluated following the regional composed Vulnerability Index produced by the JRC Disaster Risk Management Knowledge Centre (DRMKC) at NUTS2 and NUTS3[10], that is considered a proxy of the vulnerability and adaptive capacity at regional/local scale. It is available through the Risk Data Hub (see :

The index is expressed at a scale from 0 to 10 (with higher score indicating higher vulnerability), and 4.4 is the mean index value at NUTS3 level.

Applicants located in a region with an associated vulnerability index lower than 4 will not receive extra points in this sub-criterion. The extra points will be calculated following a linear scaling equation dependent on index of the applicant region VI:

- If VI > ThrVI: Extra Points= 2*(VI – ThrVI)/ (MaxVI – ThrVI);
- If VI ≤ ThrVI: Extra Points= 0

With:

- VI the index of the applicant region
- MaxVI = 6,59
- ThrVI = 4

Examples of computed extra points are:

- Calabria (IT), VI=6,59 -> Extra points = 2* (6,59-4)/(6,59-4) = 2
- Podlaskie (PL), VI=4,79 -> Extra points = 2* (4,79-4)/(6,59-4) = 0,61
- Stockholm (SE), VI=3,20 -> Extra points = 2* (3,2-4)/(6,59-4) = 0

7.4. Selection Committee Consensus Meeting

Following the independent individual evaluation, the **Selection Committee** (formed by one representative of the following consortium partners: **MoIFI, CIMA, UPC, INTC, EURADA, DELTARES, and FBA** (plus, one representative from the Directorate-General for European Civil Protection and Humanitarian Aid Operations (**DG-ECHO**), one from the Joint Research Centre of Disaster Risk Management Knowledge Centre (**JRC-DRMKC**) and one from the **Mission Secretariat/CINEA, as observers**) will decide the 'Provisional List of Financial Support to Third Parties (FSTP) Recipients' and the 'Reserve List'.

The discussion will be based on the ranking obtained as a result of the independent individual evaluation. However, the **Selection Committee** may apply corrective criteria to guarantee a balanced regional portfolio of supported projects to guarantee the pan-European impact of **CLIMAAX**, as well as prioritise regions and communities located in less favoured areas, with higher or lower adaptive capacity, by the extent that this is not already effectuated by the application of the extra points based on the low adaptive capacity criterion as described in section 7.3.5 of this Guide (Extra points - adaptive capacity).

[10] https://ec.europa.eu/eurostat/web/nuts/background

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To maximize regional balance, in this **CLIMAAX 2nd Open Call,** the following limitations will apply:

- Limit per country:
  - A maximum of 3 selected projects per country will be approved: In case of a larger number of applicants over the threshold, only the first 3 in the ranking will be selected in CLIMAAX 2nd Open Call.
- Limit per NUTS2
  - A maximum of 2 selected projects per NUTS2 will be approved: In case of a larger number, only the first 2 in the ranking will be selected in CLIMAAX 2nd Open Call.

To maximize regional balance, **in both CLIMAAX Open Calls**, the following limitations will apply:

- Limit per country:
  - Maximum 8 selected projects per country in both Open Calls.
- Limit per NUTS2
  - Maximum 4 selected projects per NUTS2 in both Open Calls.

Please check the question: "Which countries and NUTS2 were funded in the CLIMAAX 1st Open Call?" in the Frequently Asked Questions Document.

The applicants who applied and have not been selected for the CLIMAAX 1st Open Call are eligible to submit an (improved) application for the CLIMAAX 2nd Open Call.

The **Selection Committee** will publish the ´Provisional list of FSTP recipients´ and ´Reserve List´.

7.5. SubGrant Agreement preparation and signature

Before you get started with the programme, you will need to sign a SubGrant Agreement with the **CLIMAAX** Consortium. Prior to signing the Agreement, you should provide documents regarding your formal status. The CLIMAAX Consortium will proceed to verify these documents to prove your eligibility (For more details, please check our Frequently Asked Questions Document available at Open Call Microsite).

Please submit the required documentation within the deadlines that will be communicated to you. If you fail to deliver the requested documents on time, without clear and reasonable justification, we will exclude you from further formal assessment and you will be replaced with the following entity from the 'Reserve List'.

8. PAYMENT ARRANGEMENTS

Once your eligibility has been confirmed following the formal check and the sub-grant agreement has been signed, you will be an official beneficiary of the **CLIMAAX** programme.

As a selected beneficiary, you will receive fixed financing to cover the estimated expenses included in the proposal following a lump sum scheme. The lump sum is a simplified method of settling expenses in projects financed from the Horizon Europe funds. It means that you are **not** required to present strictly defined accounting documents to prove the costs incurred (e.g., invoices). However, you are obliged to demonstrate that the implementation of your project is in line with the plan set for it through the presentation of the project Deliverables and the achievement of project milestones. Simply speaking it means that we will carefully assess your progress and the quality of your work during Deliverable Reviews, not your accountancy.

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The Deliverables and milestones will be detailed and calendarized in the 'Individual Follow-Up Plan' annexed to the SubGrant Agreement. The Financial Support will be paid against these Deliverables and milestones, namely:

- **1st Deliverable:** Implementation of the **CLIMAAX** common methodology for multi-risk assessment and analysis of the results (Phase 1- Multi-risk climate assessment, due at Month 6 (M6) of the project execution)
- **2nd Deliverable:** Refined regional/local high-resolution analysis and risk assessment and comparison of results (Phase 2- Refined regional/local multi-risk assessment, M16)
- **3rd Deliverable:** Contribution to local adaptation strategies and improved risk management plans (Phase 3- Adaptation strategies and improved Risk Management Plans, M22).

Please, note that the lump sum arrangement does not release the beneficiary from the obligation to collect documentation to confirm the costs under fiscal regulation.

The project progress and the quality of work will be assessed during Deliverable Reviews. Three **Deliverable Review** will be performed by the **CLIMAAX** Implementation Committee in each payment milestone established. The evaluation will be done based on the following criteria included in the sub-grant agreement Individual Follow-Up Plan:

- Deliverable quality (Weight 40%)
- Performance indicators (Weight 50%)
- Deadline Compliance (Weight 10%).

Each criterion will be scored from 0 to 10. Based on the weight of each criterion, the final score will be calculated.
The threshold to continue in the programme with the next phase is 7 points. The Implementation Committee will review and validate the evaluations, paying special attention to the 'under threshold' cases, if any, by taking into consideration all possible objective reasons for underperformance (i.e., external factors which might have influenced the beneficiaries' performance).

The beneficiary whose project has not reached the threshold will be required to present a **Recovery Plan** to the **Management Team** of the **CLIMAAX** project. In case the Recovery Plan is not realistic or not sufficient to recuperate the detected underperformance, the selected project will be considered in default. In case the Recovery Plan is accepted, they will be given a period to apply it (no longer than 3 months), and a second Delivery Review meeting will be held to take the final decision. The **CLIMAAX Selection Committee** will take the final decision and approve the payments or invite selected projects which have not reached the threshold after the Recovery Plan to leave the programme.

Payments will be done in the form of lump-sum grant as follows:

- **Phase 1 Multi-risk climate assessment**: Deliverable 1 presented and approved - 30%
- **Phase 2 Refined regional/local multi-risk assessment**: Deliverable 2 presented and approved- 40%
- **Phase 3 Exploration of local adaptation strategies. and improved risk management plans** Deliverable 3 presented and approved - 15%
- **Final payment (the retention payment)** - 15%

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PAYMENT MILESTONES	DELIVERABLE	DATE	% TOTAL GRANT
Phase 1 CLIMAAX methodology	Multi-risk climate assessment	M6	**30%**
Phase 2 Refined local high-resolution risk assessment	Refined regional/local multi-risk assessment	M16	**40%**
Phase 3 Exploration of local adaptation strategies. and improved risk management plans	Adaptation and improved risk management report	M22	**15%**
Final payment	Final payment (delayed payment mechanism)	Est. November 2027	**15%**
		TOTAL	**100%**

Following the rules of the Horizon Europe program, a delayed payment mechanism will be applied to the 15% of the financial support amount awarded to each sub-project, which will be paid to the beneficiaries once the whole **CLIMAAX** project is completed. This should happen within 9 months after the end of the **CLIMAAX** project, expected on the 28th of February 2027.

Lastly, for a more detailed payment schedule, you can read our Frequently Asked Questions Document.

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9. CONTACT US

9.1. How can we help you?

If you still have any doubts regarding the CLIMAAX 2nd Open Call process, feel free to get in touch with us:

- Ask your question at the **Helpdesk email** at the following address: or write directly to the **Helpdesk** at

- If you face any technical issues or problems, make sure you include the following information in your message:
  - Your username, telephone number and your email address.
  - The details of the specific problem (error messages that appeared, bug descriptions such as a dropdown list that isn't working, etc.).
  - Screenshots of the problem.

9.2. Complaints

If, after receiving the results of the eligibility criteria evaluation you consider that a mistake has been made, a complaint can be submitted. Complaint emails have to be sent in English to including the following information:

- Your contact details (including email address).
- The subject of the complaint.
- Information and evidence regarding the alleged mistake.

Important note regarding the timeline.
The complaint has to be submitted within **3 working days** starting from the day after the communication of the evaluation results was sent. On our side, we will review them within no more than **7 calendar days** from its reception. If we need more time to assess the complaint, we will inform the applicant by email about the extension.

We will not be reviewing the complaint **if**:

- It is anonymous.
- The information is incomplete.
- It is not related to the results of the evaluation of the eligibility criteria.

Please take into account that the evaluation is run by experts, and we do not interfere with their assessment, therefore we will not evaluate complaints related to the results of the evaluation other than those related to procedural or technical mistakes.

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10. LAST BUT NOT LEAST- FINAL PROVISIONS

Any matters not covered by this 'Guide for Applicants' will be governed by the Spanish law[11] and the rules related to the Horizon Europe programme and European Union grants regulations.

We do our best to keep all the applicant data confidential. However, to avoid any doubts, the applicant is entirely responsible for indicating what information is confidential.

Your Intellectual property rights (IPR) will remain your property, but the deliverables will be public documents.

For the selected beneficiaries, the SubGrant Agreement will include a set of obligations towards the European Commission (for example: promoting the project and giving visibility to the EU funding, maintaining confidentiality, understanding potential controls by the EC/ECA, EPPO and OLAF), as well as uploading the used local data and the results into the DRMKC Risk Data Hub through the **CLIMAAX** 'user corner'[12] and making the Deliverables reports and results public and subject to open access.

The **CLIMAAX** Consortium might cancel the Open Call at any time, change its provisions or extend it. In such a case we will inform all applicants about such a change and provide the reasoning behind the decision. The signature of the SubGrant Agreement is an initial condition to establish any obligations among applicants and any Consortium partners (with respect to the obligation of confidentiality of the application).

Didn't find what you were looking for? You may want to check our Frequently Asked Questions Document.

[11] Since the responsibility of the FSTP implementation is the Universitat Politecnica de Catalunya (Spain).
[12] https://publications.jrc.ec.europa.eu/repository/handle/JRC129773

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ANNEX 1: METHODOLOGICAL FRAMEWORK AND TOOLBOX DESCRIPTION

This annex describes the CLIMAAX methodological framework and toolbox. The software implementation of the combined framework and toolbox, known as the **CLIMAAX handbook**, and a technical description of this implementation can be found on the CLIMAAX website with background information for applicants

THE CLIMAAX METHODOLOGICAL FRAMEWORK

he CLIMAAX Framework is designed to encompass and support inclusive and harmonised regional climate risk assessments (CRAs). The framework is designed to translate the ambitions of the EU Mission on Adaptation into practice. This EU mission unites European regions in their pathway to resilience and ability to cope with climate extremes by implementing transformational adaptation and emergency management strategies. This is supported by the European Environment Agency, which has developed the Regional Adaptation Support Tool (RAST[13]) that provides practical guidance for planning, implementation and evaluation of climate adaptation strategies. This tool is based on the Adaptation Support Tool logic displayed in Figure 3 below.



Figure 3 Regional Adaptation Support Tool outline. The CLIMAAX CRA Framework covers steps 1-3 in this scheme. (see for detailed descriptionhttps://climate-adapt.eea.europa.eu/en/mission/knowledge-and-data/regional-adaptation-support-tool)

[13] https://climate-adapt.eea.europa.eu/en/mission/knowledge-and-data/regional-adaptation-support-tool

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The **CLIMAAX CRA Framework** covers steps 1-3 from the Reginal Adaptation Support Tool (Figure A1). It includes an inventory of the scope and institutional background of the CRA (Step 1), ensuring embedding in a shared strategic and institutional framework across actors/stakeholders for adaptation planning and risk management. It provides guidance on the selection of sound technical choices for conducting climate risk assessments (Step 2). And it outlines the contribution of a regional CRA to transformational adaptation and emergency management strategies that build resilience (Step 3).

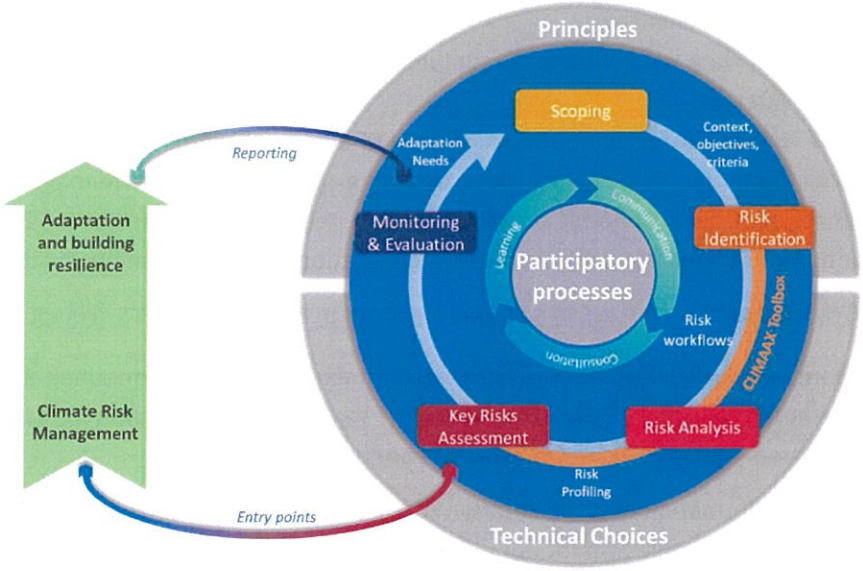


Figure 4 The Climate Risk Assessment Framework shown in relation to its relationship with Climate Risk Management and adaptation and building resilience (see also Figure 1 in this Guide for Applicants).

Figure 4 displays how the **CLIMAAX** CRA Framework (shown in Figure 1 of the Guide for applicants) links to and supports climate risk management, adaptation and building resilience. The main steps in the framework are as follows:

Scoping: The first step of the risk assessment cycle is to determine context, objectives and criteria, which are embedded in a pre-defined set of principles.

Risk Identification: A systematic inventory of relevant risks for the region of interest, guided by a survey of past experiences, records of data for multiple climate hazards (floods, drought, heatwave, fire, snow, wind), and exposure and vulnerability information derived from geographical and socio-economic characteristics of the region.

Risk Analysis: The analysis of climate risks is conducted by risk assessment methods implemented in the CLIMAAX Toolbox in so-called "workflows". Quantitative risk assessments are explored, for example, by using future scenarios and probabilistic or event-based approaches. Guidance is provided on the choice of data and scenarios for hazard, exposure and vulnerability.

Key Risks Assessment: Key risks that are particularly important in the local context are identified, to guide prioritization of upcoming climate risk management strategies. Special attention is paid to the combination of hazards that may amplify all or some of these risks.

Monitoring and Evaluation: By systematic monitoring and evaluation of key risks and the effectiveness of adaptation and risk management actions an iterative repetition of the risk assessment cycle is fuelled with new insights for updated the scoping and implementation of CRAs and adaptation strategies.

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The Framework is designed to provide a reference for defining climate risk assessment (CRA) workflows and their implementation that are consistent with the highest standards, best practices and state-of the art scientific findings. In particular, the Framework gives guidance on:

1. **Stakeholder engagement processes** that ensure development of appropriate CRA's and uptake into strategic planning;
2. **Selection of hazards** that have shown or are known to have a substantial societal impact and will be affected by climate change;
3. Use of available **data and concepts** containing meaningful information for the region or community of interest;
4. **Selection of indicators** for meteorological or hydrological hazards and societal or ecological impacts that can be used for designing and monitoring adaptation and risk management strategies;
5. Use of climate and socio-economic **scenarios** that are relevant for impacts and risks that needs to be accounted for regions and communities for adaptation/risk management strategies.

TOOLBOX TO SUPPORT THE ASSESSMENT OF MULTIPLE CLIMATE RISKS

The Toolbox contains data, projections and risk assessment algorithms (contained in so-called "risk workflows") designed to support the compilation of regional climate multi-risk assessments. It is structured to accommodate the needs of **users at varying expert levels**:

> 1) General information and visualization of hazards, exposure, and vulnerability is accessible via a **dashboard**, usable also for non-expert users;
> 2) Advanced users can explore and download **pre-existing risk workflows** for selected natural hazard profiles, and adjust these workflows to a specific European region;
> 3) Expert users can create a **fully customized regional risk assessment package** allowing the inclusion of own local data on hazard, exposure, and/or vulnerability, and adjusted risk assessment methods.

The risk workflows in the toolbox are **stratified by hazard profile**. For a range of hazard types, a number of methods for risk assessment are included in the toolbox based on the type of hazard. Currently[14], we included methods for riverine flood, agricultural drought, heatwave, wildfire, windstorm, snow, blizzards, extreme precipitation and coastal floods. The different assessment methods follow a stepwise data processing scheme to calculate risk as a combination of hazard, exposure and vulnerability. In particular, we identified the following **risk assessment methods**:

- Expected **damage** calculated as a combination between hazard and damage curves, which are a function of exposure and vulnerability;
- **Risk** as a product of hazard, exposure, and vulnerability;
- Risk matrix, in which **qualitative risk** classes are defined based on hazard probability and impact;
- **Assets or population exposed** to a specific hazard.

The CLIMAAX toolbox comes with a **dataset of pan-European hazard, exposure, and vulnerability data** for the implementation of the different risk assessment methods. These European datasets include reference hazard climatologies and basic climate change scenarios, and data on exposure and vulnerability such as land use, building types, age, education, income, healthcare access, settlements,

[14] A pilot version of the CLIMAAX climate multi-risk assessment Toolbox is available for applicants at https://handbook.climaax.eu/intro.html and will be further developed over the course of the CLIMAAX project duration.

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and infrastructure. In general, similar exposure and vulnerability data are used in each of the hazard workflows. In some workflows multiple customized datasets and future scenarios of exposure and vulnerability are already made available.

The toolbox allows the users to **customize their risk assessment and to upload own data**. In the expert level version of the toolbox customized risk assessment procedures can be implemented.

In its current form, the toolbox has **limited capability to perform online calculations**. Options to offer facilities for online calculations are explored. In any case, a full download of the technical guidelines and workflow code, jointly with the hazard, exposure, and vulnerability data, enable **offline customization and execution of the climate risk assessment**.

The toolbox is written in **English**. Detailed descriptions of the technical implementation and example user journeys are provided at the

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ANNEX 2 MAXIMUM GRANT AMOUNT PER COUNTRY

EU MEMBER STATES	COUNTRY CORRECTION COEFFICIENT	MAXIMUM GRANT AMOUNT PER COUNTRY
AUSTRIA	1.063	€ 241,591
BELGIUM	1.000	€ 227,273
BULGARIA	0.548	€ 124,545
CYPRUS	0.775	€ 176,136
CZECH REPUBLIC	0.791	€ 179,773
GERMANY	0.983	€ 223,409
DENMARK	1.320	€ 300,000
ESTONIA	0.803	€ 182,500
GREECE	0.816	€ 185,455
SPAIN	0.913	€ 207,500
FINLAND	1.195	€ 271,591
FRANCE	1.164	€ 264,545
CROATIA	0.755	€ 171,591
HUNGARY	0.720	€ 163,636
IRELAND	1.195	€ 271,591
ITALY	0.974	€ 221,364
LITHUANIA	0.728	€ 165,455
LUXEMBOURG	1.000	€ 227,273
LATVIA	0.760	€ 172,727
MALTA	0.881	€ 200,227

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NETHERLANDS	1.096	€ 249,091
POLAND	0.705	€ 160,227
PORTUGAL	0.843	€ 191,591
ROMANIA	0.654	€ 148,636
SWEDEN	1.254	€ 285,000
SLOVENIA	0.833	€ 189,318
SLOVAKIA	0.781	€ 177,500

Table 2 MAXIMUM GRANT AMOUNT PER COUNTRY PER EUROPEAN UNION MEMBERS

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THE ASSOCIATED COUNTRIES	COUNTRY CORRECTION COEFFICIENT	MAXIMUM GRANT AMOUNT PER COUNTRY
ALBANIA	0.590	€ 134,091
REPUBLIC OF ARMENIA	0.777	€ 176,591
BOSNIA AND HERZEGOVINA	0.639	€ 145,227
FAROE ISLANDS	1.320	€ 300,000
GEORGIA	0.622	€ 141,364
ISRAEL	1.072	€ 243,636
ICELAND	1.305	€ 296,591
KOSOVO	0.702	€ 159,545
MOLDOVA	0.632	€ 143,636
MONTENEGRO	0.616	€ 140,000
NORTH MACEDONIA	0.507	€ 115,227
NORWAY	1.287	€ 292,500
SERBIA	0.577	€ 131,136
TUNISIA	0.674	€ 153,182
TÜRKIYE	0.645	€ 146,591
UKRAINE	0.685	€ 155,682
UNITED KINGDOM	1.369	€ 300.000

Table 3 MAXIMUM GRANT AMOUNT PER ASSOCIATED COUNTRIES

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ANNEX 3: ONLINE APPLICATION FORM

This document presents the general structure of the application form available online divided into sections.

1. BASIC INFORMATION
Before applying, read carefully the Open Call related information (Guide for Applicants & FAQ), which includes all the necessary information on the application process, evaluation criteria, and the proposal's requirements. *A practical point: you can save your application as a draft and continue later. You can also add other members of your team as contributors to help you complete the application form.*
Project Acronym
Project Title
Project short description
Project General Description
Name and Surname of the Contact person
Contact person email address
Contact person phone number

2. APPLICANT AND PROJECT INFORMATION
Please confirm your legal status. Please be aware that at the end of the selection process, you will be requested to provide the necessary documents to confirm legal status eligibility. Before completing this section, please read the Guide for Applicants and FAQ.
Legal name of the entity
VAT number
Date of main registration (according to the registration document) (DD/MM/YYYY)
Country of registration
Address of the entity (Postal code, city, street name and number)
Website URL (https://... or http://...)
Are you linked financially or personally with any of the CLIMAAX Consortium Partners?
Type of the entity
If "Public body: Local and Regional entities" selected, upload the file (official document) confirming possession of the mandate. If "Non-profit entities" selected, upload the file (official document) confirming possession of the mandate. If "Non-profit entities" selected, upload the Certificate of formation
Applicant description. Please provide a brief description of areas of activity, experiences, and history that are relevant for the call.

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3. RELEVANCE

Before completing this section, please read the Guide for Applicants and FAQ.

Which are the climate risks your region faces and how do these motivate your application for CLIMAAX funding?

Please explain:

- the major climate-related risks that will be considered in the proposed study and why they are considered relevant;
- how the CLIMAAX approach can help in improving the local knowledge and awareness of these risks;
- how the work proposed can help to understand the vulnerability to climate change in the applicant's region/community;

what are, so far, the main difficulties you experienced in facing climate adaptation and climate-related risk assessment in your region/community.

4. IMPACT

Before completing this section, please read the Guide for Applicants and FAQ.

What will be the impact of the proposed activity in your region/community?

Describe how the results of the study can substantially help in reducing their vulnerability to climate change by increasing risk knowledge and awareness and by supporting local policies (e.g. adaptation strategies or risk management plans) at the local level.

Who are the main stakeholders and beneficiaries of the proposed study?

Can your region/community be considered as highly vulnerable to climate change impacts?

Please provide evidence and/or examples. Please check the Guide for Applicants at https://getonepass.eu/opportunities/climaax for information on highly vulnerable regions.

Can your region/community be considered as a regions/community with limited resources to increase climate or disaster resilience?

Please provide evidence and/or examples. Please check the Guide for Applicants at for information on regions with limited resources.

What is your region's Vulnerability Index (VI)?

Please provide your answer based on the regional composed Vulnerability Index produced by the JRC Disaster Risk Management Knowledge Centre (DRMKC) at NUTS2 and NUTS3, which is considered a proxy of the vulnerability and adaptive capacity at the regional/local scale. It is available through the Risk Data Hub

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5. IMPLEMENTATION

Before completing this section, please read the Guide for Applicants and FAQ.

What resources (including team and/or external services) and skills do you have to effectively manage and lead local climate risk assessments following the 3 phases methodology reported in the call?

Provide evidence for each one of the 3 phases of data/model availability, evidences from previous studies, methodologies.

- Phase 1: Application of the CLIMAAX common methodology Framework;
- Phase 2: Refinement and improvement of the multi-risk assessment carried out in Phase 1 using local data of higher resolution and detail;
- Phase 3: Exploration of potential adaptation options and relevant actions at local scale to address the risk and vulnerabilities identified from the multi-risk analysis carried out in Phases 1 and 2

Describe the plan for implementing the required activities in the 3 phases

Provide evidence for each one of the 3 phases:

- Phase 1: Application of the CLIMAAX common methodology Framework;
- Phase 2: Refinement and improvement of the multi-risk assessment carried out in Phase 1 using local data of higher resolution and detail;
- Phase 3: Exploration of potential adaptation options and relevant actions at local scale to address the risk and vulnerabilities identified from the multi-risk analysis carried out in Phases 1 and 2

What key elements contribute to the effectiveness of the plan?

6. RESOURCES

Before completing this section, please read the GFA (Annex 2 at the end of the document: page 30): How to calculate the maximum amount of financial support, which includes all the necessary information on the budget calculation.

(1) Personnel costs

(2) Purchase costs (travel,/equipment/other goods, work or services)

(3) Subcontracting

(4) Overheads (25% of sum of 1 + 2)

(5) Total costs (sum of 1 + 2 + 3 + 4)

(6) Requested amount (please check in the GfA the actual maximum amount of financial support for each separate applicant, calculated using the Country Correction Coefficient (CCC) of each applicant's country.)

Justify the proposed budget for implementing the required activities in the 3 phases

GANTT CHART

The plan of action to complete the 3 phases of the project, including a timeline of the planned task.

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7. DECLARATION OF HONOUR

Please read carefully the statements below. You will not be able to change the statements after the deadline. By ticking the boxes below, I confirm that:
I have read and understood the information about the project, as provided in the Guide for Applicants (GfA)
I acknowledge that the evaluators and the European Commission and its bodies and agencies may have access to the data collected under the open call
The data provided in the application form are true and up-to-date
The entity I represent meets the eligibility conditions described in the GfA, in particular, is a public body or non-profit legal entitiy representing a local/regional community
There is no conflict of interest between the entity I represent and any of the consortium partners
Moreover, the entity I represent, or persons with power of representation, decision-making or control over the aforementioned legal entity:
is/ are not listed on the EU sanction list: https://www.sanctionsmap.eu, which means that is/are not subject to EU restrictive measures under Article 29 of the Treaty on the European Union (TEU) and Article 215 of the Treaty on the Functioning of the EU (TFEU))
is /are not bankrupt or being wound up, is not having its affairs administered by the courts, has not entered into an arrangement with creditors, has not suspended business activities, is not the subject of proceedings concerning those matters, or is not in any analogous situation arising from a similar procedure provided for in national legislation or regulations; is/are not meeting national regulations regarding bankruptcy.
has/have not been convicted of an offence concerning their professional conduct by a judgment which has the force of res judicata
has/have not been guilty of grave professional misconduct proven by any means which the contracting authority can justify, including by decisions of the European Investment Bank and international organisations
is/are in compliance with their obligations relating to the payment of social security contributions or the payment of taxes in accordance with the legal provisions of the country in which it is established or with those of the country of the contracting authority or those of the country where the contract is to be performed
has/have not been the subject of a judgment which has the force of res judicata for fraud, corruption, involvement in a criminal organisation or any other illegal activity, where such illegal activity is detrimental to the Union's financial interests
is/are not subject to an administrative penalty for being guilty of misrepresenting the information required by the contracting authority as a condition of participation in a grant award procedure or another procurement procedure, or failing to supply this information, or has/have not been declared to be in serious breach of their obligations under contracts or grants covered by the Union's budget.
I did not make false declarations in supplying the information required, as a condition of participation in the Open Call or do not fail to supply this information
I voluntarily agree to be registered at CLIMAAX Community at https://discord.gg/k3wxg3M7CJ and I understand that I can delete my profile from the above-mentioned Community by informing the CLIMAAX Team via helpdesk mail

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8. PROCESSING OF PERSONAL DATA
I confirm that I read and understood the information clause concerning the processing of the personal data provided above.
I confirm that I have a legal basis for processing the personal data of the team members listed in the application form.
I will pass the information clause provided above to all team members mentioned in the application form.

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Annex 5: Transposition of general provisions of the Horizon Europe Grant Agreements

The following articles of the CLIMAAX Grant Agreement are hereby incorporated in the Agreement and apply to the Beneficiary receiving the FSTP grant:

Article 12 of the CLIMAAX Grant Agreement: Conflict of interests.

Article 13 of the CLIMAAX Grant Agreement: Confidentiality and security.

Article 14 of the CLIMAAX Grant Agreement: Ethics.

Article 16 of the CLIMAAX Grant Agreement: Intellectual Property Rights.

Article 17.2 of the CLIMAAX Grant Agreement: Visibility.

Article 18 of the CLIMAAX Grant Agreement: Specific rules for carrying out action.

Article 19 of the CLIMAAX Grant Agreement: Information Obligations.

Article 20 of the CLIMAAX Grant Agreement: Record-keeping.

Article 25 of the CLIMAAX Grant Agreement: Checks, Reviews, Audits and Investigations – Extensions of Findings.